

CircRNA_141539 can serve as an oncogenic factor in esophageal squamous cell carcinoma by sponging miR-4469 and activating CDK3 gene

Zheng-Hua Liu¹, Shi-Ze Yang¹, Wen-ya Li¹, Si-Yuan Dong¹, Si-Yu Zhou¹, Shun Xu¹

¹Department of Thoracic Surgery, The First Affiliated Hospital of China Medical University, He-Ping, Shen-Yang 110001, Liao-Ning Province, China

Correspondence to: Shun Xu; email: xushun@cmu.edu.cn

Keywords: ESCC, circRNA_141539, miR-4469, CDK3, progression

Received: January 9, 2020

Accepted: November 23, 2020

Published: January 27, 2021

Copyright: © 2021 Liu et al. This is an open access article distributed under the terms of the [Creative Commons Attribution License](https://creativecommons.org/licenses/by/3.0/) (CC BY 3.0), which permits unrestricted use, distribution, and reproduction in any medium, provided the original author and source are credited.

ABSTRACT

The abnormal expression and regulation of circular RNA (circRNA) is involved in the occurrence and development of a variety of tumors. The current study aimed to determine the role of circRNA_141539 in esophageal squamous cell carcinoma (ESCC). CircRNA_141539 expression in ESCC was detected via circRNA chip analysis and verified via reverse transcription-quantitative PCR. Associations between circRNA_141539, patient clinicopathological characteristics and prognosis were also statistically analyzed. Additionally, the effects of circRNA_141539 on ESCC cell proliferation and invasion were assessed. A dual-luciferase assay was performed to analyze the interaction between circRNAs, microRNAs (miRs) and mRNAs. The results revealed that circRNA_141539 was significantly up-regulated in patients with ESCC. Furthermore, high circRNA_141539 expressions were significantly associated with TNM stage, differentiation and poor prognosis, revealing high diagnostic value ($P < 0.05$). Furthermore, circRNA_141539 overexpression promoted cell proliferation and invasion, while circRNA_141539 silencing inhibited cell proliferation and invasion ($P < 0.05$). The dual-luciferase reporter assay identified that circRNA_141539 directly binds to miR-4469 and also revealed that cyclin-dependent kinase-3 (CDK3) was negatively regulated by miR-4469. The results indicated that circRNA_141539 served as an oncogenic factor in ESCC by sponging miR-4469 and activating CDK3 expression. circRNA_141539 may present as a novel diagnostic and prognostic biomarker and a therapeutic target for patients with ESCC.

INTRODUCTION

Esophageal cancer (EC) is one of the most common malignant tumors of the digestive tract, representing a serious threat to human health and life [1]. Esophageal squamous cell carcinoma (ESCC) is the major pathological type of EC, accounting for ~85% of all patients [2]. Radical surgery, radiotherapy and chemotherapy are the main treatments for EC [3, 4]. However, the overall prognosis of patients with EC remains poor and the infiltration and metastasis of this tumor is the major cause of treatment failure and mortality [5].

Non-coding RNA (ncRNA) is a type of RNA that serves various regulatory functions [6]. Circular RNA (circRNA) is a novel class of endogenous ncRNA with covalently linked 3'- and 5'-ends, creating a closed-loop structure that is not easily degraded by exonucleases [7]. The abnormal expression of circRNAs is observed in various tumors, suggesting that circRNAs are involved in tumorigenesis and cancer progression [8]. Recent studies have revealed that circRNA serves a regulatory role by competitively binding to miRNA, ultimately affecting target gene expression [9]. CircRNAs are considered to be one of the most ideal and specific biomarkers for patient diagnosis and prognosis in various types of cancer [10].

The present study analyzed the expression profile of circRNAs and identified that circRNA_141539 was significantly up-regulated in ESCC tissue. The results also revealed that circRNA_141539 served as a miR-4469 sponge to activate cyclin-dependent kinase-3 (CDK3) expression and consequently promote ESCC progression. It was concluded that circRNA_141539 may present a novel diagnostic and prognostic biomarker and therapeutic target for patients with ESCC.

RESULTS

circRNA_141539 is significantly upregulated in ESCC tissues

CircRNA expression was determined using Arraystar Human circRNAs chips with three paired ESCC and adjacent non-cancer tissues. Following normalization and data analysis, a total of 87 significantly up-regulated circRNAs and 73 significantly down-regulated circRNAs were revealed. A cluster heat map was subsequently constructed, which listed the top 10 most up-regulated and down-regulated circRNAs (Figure 1A; $P < 0.05$). The details of top 10 up-regulated and down-regulated differentially expressed circRNAs are listed in Supplementary Table 1. Among the 30 differentially expressed circRNAs, circRNA_141539 was 7.58-fold up-regulated in ESCC tissues. RT-qPCR was then performed to detect the expression of circRNA_141539 in 50 paired ESCC and adjacent tissues. The results confirmed that circRNA_141539 was significantly up-regulated in ESCC tissues ($n=50$; Figure 1B, 1C; $P < 0.05$) compared with corresponding adjacent non-cancer tissues.

circRNA_141539 is associated with patient diagnosis and prognosis in ESCC

A receiver operating characteristic curve was constructed to assess the diagnostic value of circRNA_141539 in ESCC. The results revealed that circRNA_141539 expression had high diagnostic efficiency, with an area under the curve value of 0.8098 (95% confidence interval, 0.6874-1.254) in 50 patients with ESCC (Figure 2A; $P < 0.05$). Subsequently, the 50 cases of ESCC were divided into high or low circRNA_141539 expression groups using the median circRNA_141539 expression value as a cutoff (Figure 2B). Progression-free survival (PFS) curves were constructed using the Kaplan-Meier method and log-rank tests. The results revealed that patients with high circRNA_141539 expressions exhibited significantly shorter PFSs (mPFS, 20.0 mo. vs. 24.1 mo.; $P=0.0132$; Figure 2C) than those with low circRNA_141539 expressions. Furthermore, multivariate Cox regression analysis revealed that high

circRNA_141539 expressions along with low differentiation and stage III were poor survival prognostic factors for patients with ESCC (Table 1; $P < 0.05$). These data indicated that circRNA_141539 serves as a diagnostic and prognostic biomarker for patients with ESCC.

CircRNA_141539 is associated with clinicopathological characteristics in patients with ESCC

A chi-square test was employed to estimate the relationship between circRNA_141539 expression and the clinicopathological characteristics of patients with ESCC. The results demonstrated that higher circRNA_141539 expressions were positively associated with TNM stage (Figure 3A; $P < 0.001$), T stage (Figure 3B; $P < 0.001$) and N stage (Figure 3C; $P < 0.001$), and negatively associated with histological grade (Figure 3D; $P < 0.001$). However, no significant association between N2 and N3 stages was identified (Figure 3C; $P=0.065$).

CircRNA_141539 promotes cell proliferation and invasion

The current study performed RT-qPCR to evaluate the expression of circRNA_141539 in human ESCC cell lines. The results revealed that circRNA_141539 expression was highest in EC9706 cells and lowest in Kyse510 cells when compared with Het-1A cells (Supplementary Figure 1A; $P < 0.05$). The circRNA_141539 OE vector was transfected into Kyse510 cells to stably up-regulate the expression of circRNA_141539. Additionally, shRNA was transfected into EC9706 cells to stably silence the expression of circRNA_141539. Transfection efficiency was verified via RT-qPCR (Supplementary Figure 1B, 1C; $P < 0.05$). The effect of circRNA_141539 on cell proliferation and invasion were subsequently evaluated via MTT and Transwell invasion assays. The results revealed that circRNA_141539 overexpression promoted Kyse510 cell proliferation and invasion, while circRNA_141539 silencing inhibited EC9706 cell proliferation and invasion (Figure 4A, 4B; $P < 0.05$).

CircRNA_141539 acts as a miR-4469 sponge

A dual-luciferase reporter assay was performed to verify the MRE-based circRNA_141539-miR-4469 interaction. A schematic diagram constructed using Arraystar homemade software revealed that miR-4469 binds to the wild-type sequence of circRNA_141539 (Figure 5A). Dual-luciferase assays results also demonstrated that relative luciferase activity in the psiCHECK2-circRNA_141539-wt and miR-4469 mimic co-transfection groups was significantly lower

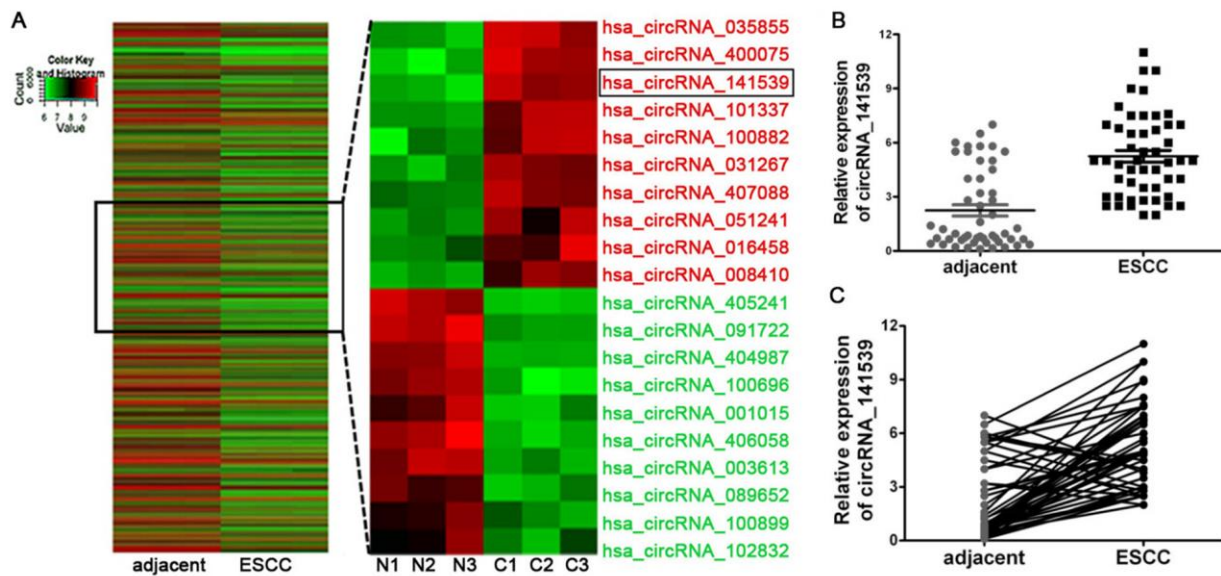


Figure 1. circRNA_141539 was up-regulated in ESCC tissue. (A) Hierarchical clustering analysis showed the top 10 most up-regulated and down-regulated circRNAs. The expression levels are presented in different colors indicating expression levels. (B, C) qRT-PCR assay showed that circRNA_001937 was significantly up-regulated in ESCC tissues (n=50), * $P < 0.05$, ** $P < 0.01$ versus corresponding adjacent tissues. circRNA_141539 expression levels were normalized to GAPDH. N: adjacent normal tissues, C: ESCC tissues.

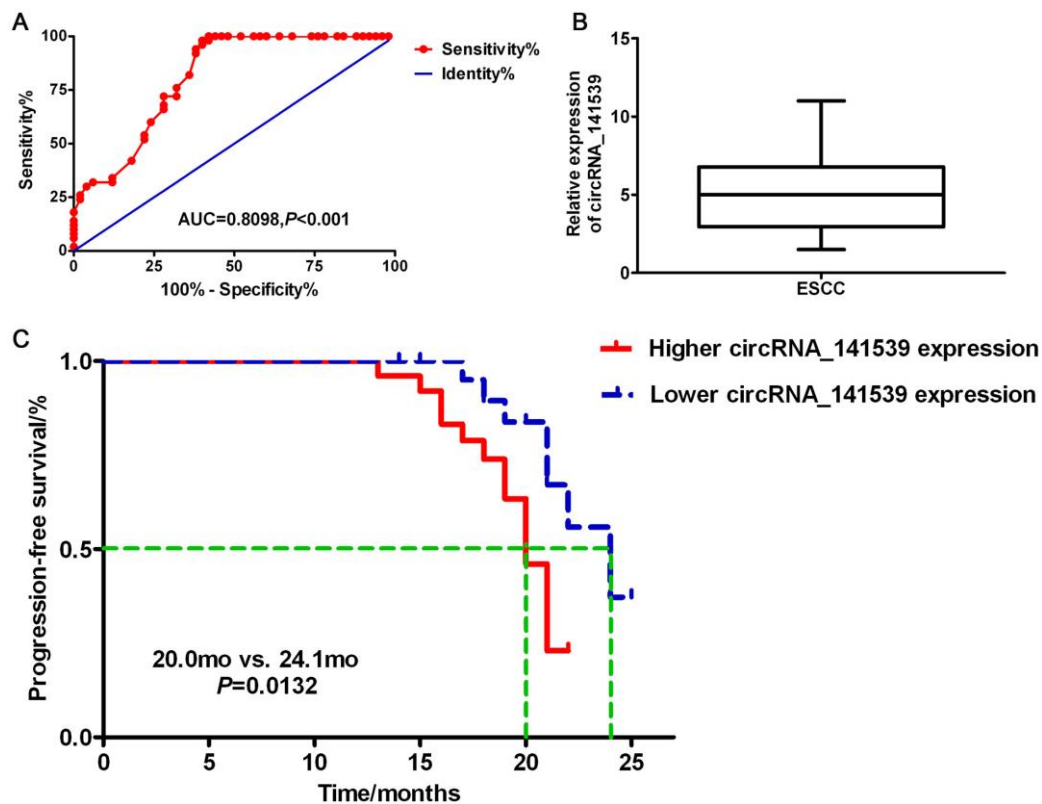


Figure 2. circRNA_141539 was associated with diagnosis and prognosis in ESCC. (A) The median expression of circRNA_141539. (B) ROC curve showed the diagnostic efficiency of circRNA_141539. (C) Kaplan-Meier progression-free survival curves showed the association between high and low circRNA_141539 expression.

Table 1. Multivariate analyses for overall survival by Cox regression test.

Characteristic	OR (95%CI)	p
Age \geq 60y	0.95(0.68-1.75)	0.685
Gender	1.20(0.82-2.52)	0.538
Pathological grade		
Low	1.82(1.51-2.23)	0.035
High/middle	Reference	
Cancer stage		
Stage III	1.88(1.01-2.52)	0.045
Stage I/II	Reference	
High circRNA_141539 expressions	1.58(1.06-2.45)	0.023

OR, Odds Ratio; CI, confidence interval.

compared with the miR-4469 NC or psiCHECK2-circRNA_141539-mut groups. However, no significant differences were identified in the psiCHECK2-circRNA_141539-mut group compared with the miR-4469 mimics and miR-4469 NC groups (Figure 5B; $P < 0.05$). The results of RT-qPCR demonstrated that miR-4469 was significantly down-regulated in ESCC tissues ($n=50$) compared with corresponding adjacent tissues (Figure 5C; $P < 0.05$). Furthermore, Pearson's analysis revealed that circRNA_141539 was negatively

correlated with miR-4469 expression (Figure 5D; $P < 0.05$). miR-4469 expression was also determined to be significantly and negatively regulated by circRNA_141539 expression in Kyse510 and EC9706 cells (Figure 5E, 5F; $P < 0.05$).

CDK3 is a direct target of miR-4469

The miR-4469 mimic and inhibitor were transfected into Kyse510 and EC9706 cells. RT-qPCR showed that

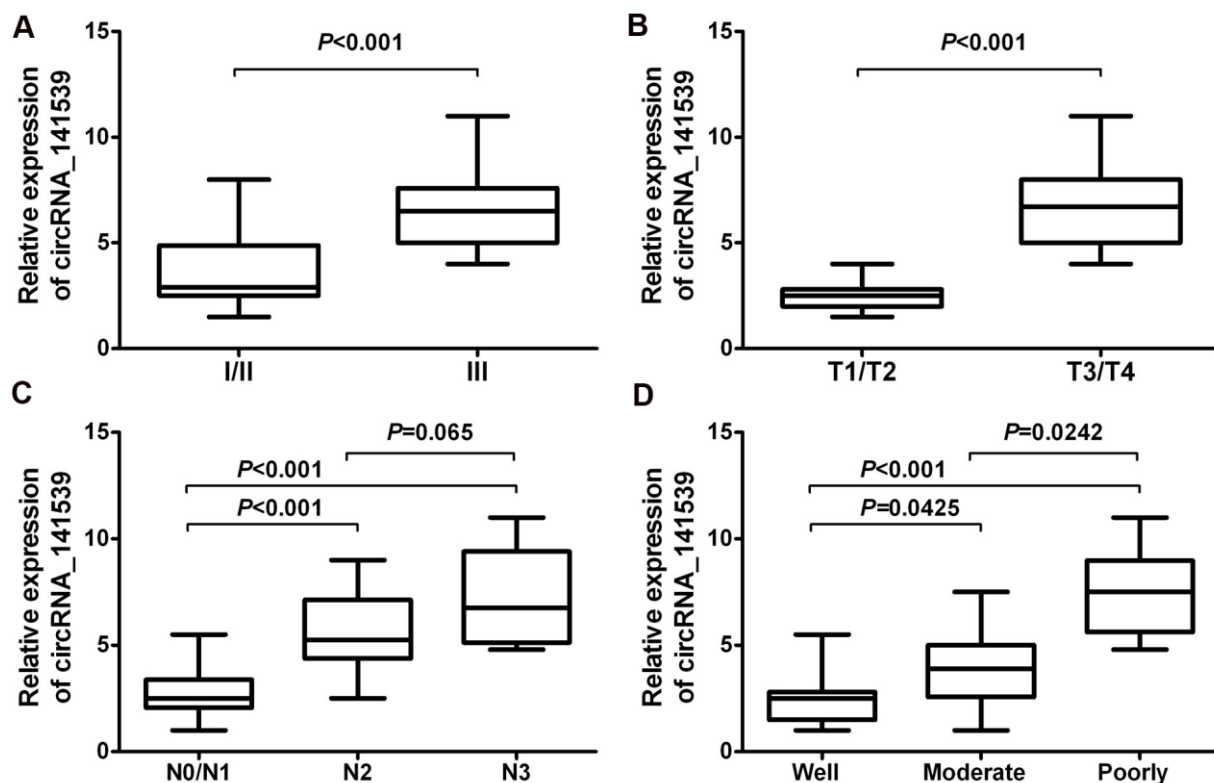


Figure 3. circRNA_141539 was associated with clinicopathological characteristics in ESCC. (A) The association of circRNA_141539 with TNM stage, **(B)** with T stage, **(C)** with N stage, and **(D)** with histological grade.

miR-4469 was significantly increased in the mimic group, and reduced in inhibitor group, compared with the group (Supplementary Figure 2A, 2B, both $P < 0.05$). Dual-luciferase reporter assay was performed to determine whether CDK3 was a direct target of miR-4469. The schematic diagram revealed that miR-4469 specifically binds to the Wt 3'-UTR sequence of CDK3 (Figure 6A). The results also demonstrated that relative luciferase activity in the psiCHECK2-CDK3-3'-UTR-wt and miR-4469 mimic co-transfection group was

significantly lower compared with miR-4469NC or psiCHECK2-CDK3-3'-UTR-mut groups. However, no significant differences were identified in the psiCHECK2-CDK3-3'-UTR-mut group compared with the miR-4469 mimics and miR-4469 NC groups (Figure 6B; $P < 0.05$). Additionally, the results of RT-qPCR indicated that CDK3 mRNA was significantly up-regulated in ESCC tissues ($n=50$) compared with corresponding adjacent tissues (Figure 6C; $P < 0.05$). Pearson's correlation analysis also demonstrated that

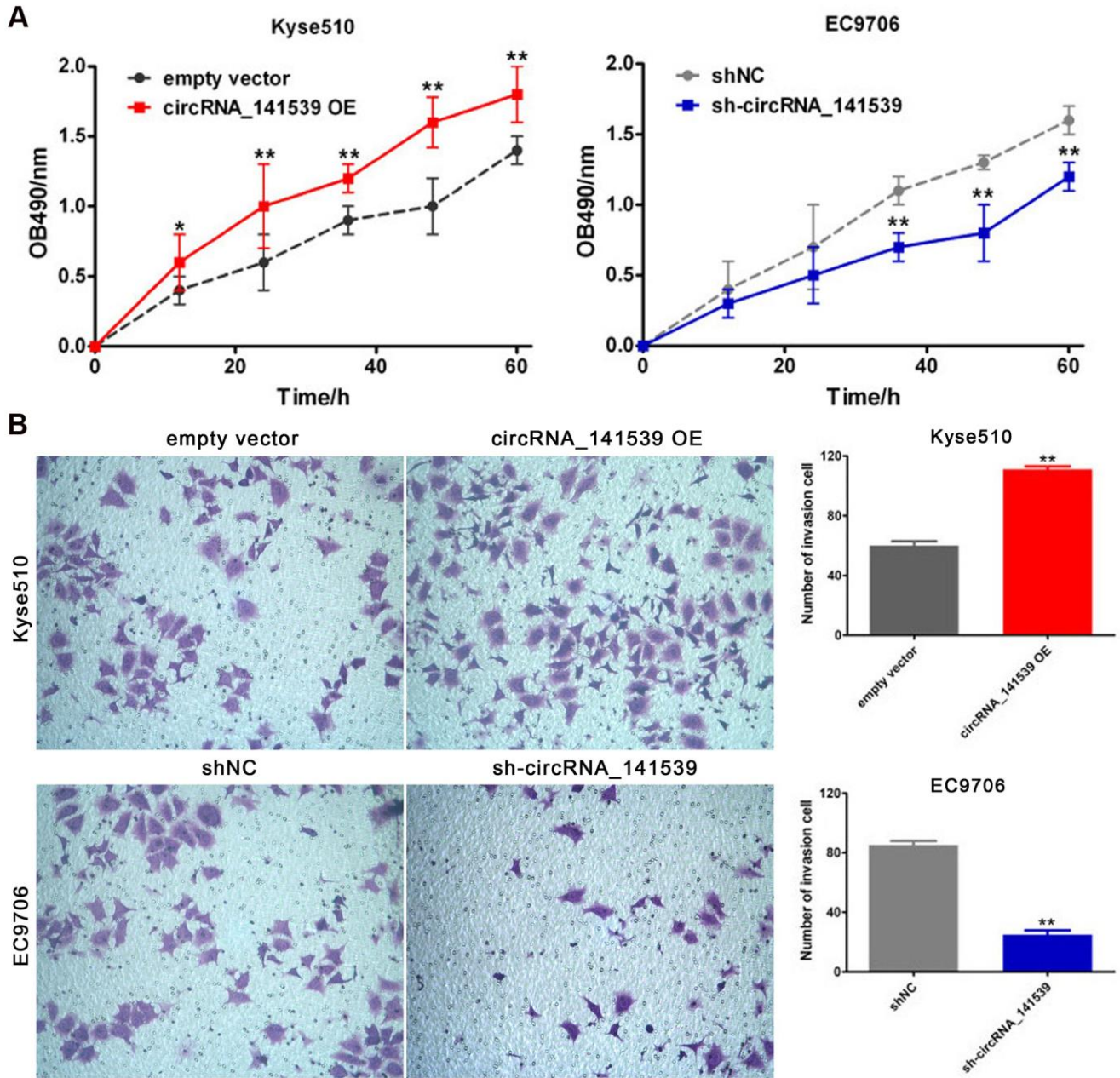


Figure 4. circRNA_141539 can promote cell proliferation and invasion (n=3). (A) MTT assay; (B) Transwell chamber invasion assay. * $P < 0.05$, ** $P < 0.01$ versus corresponding NC group.

CDK3 mRNA was negatively correlated with miR-4469 expression (Figure 6D; $P < 0.05$). Furthermore, western blotting and RT-qPCR results confirmed that CDK3 protein and mRNA expression were significantly and negatively regulated by miR-4469 in Kyse510 and EC9706 cells (Figure 6E, 6F; $P < 0.05$).

miR-4469 and CDK3 are associated with clinicopathological characteristics in ESCC

A chi-square test was used to estimate the relationship between miR-4469 and CDK3 expression and the clinicopathological characteristics of patients with

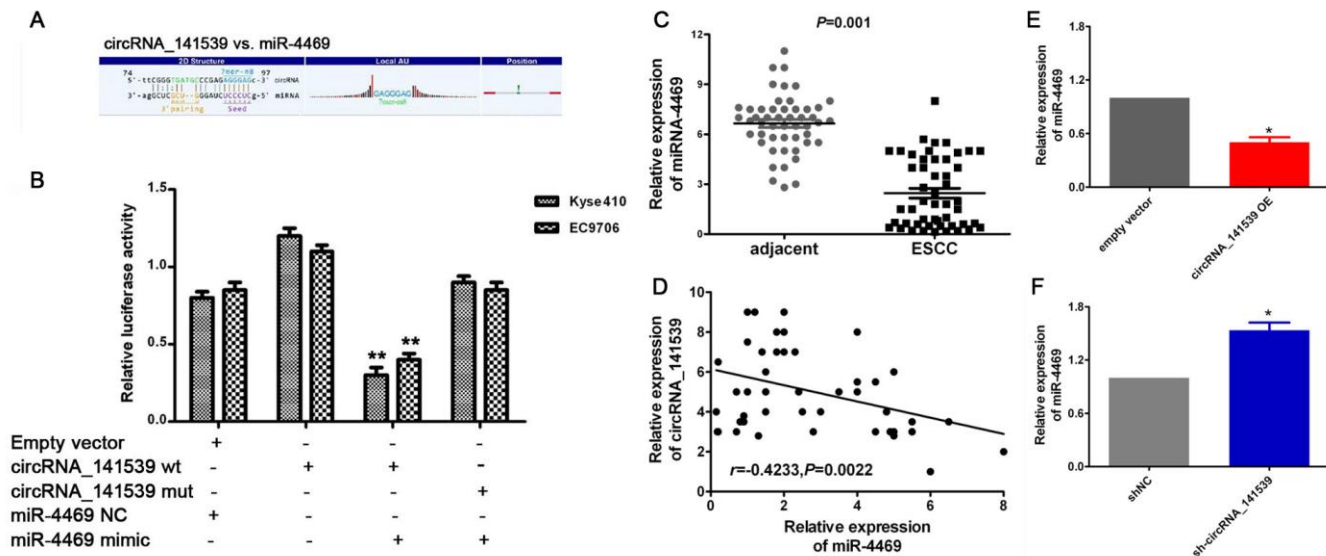


Figure 5. circRNA_141539 acts as a miRNA sponge for miR-4469. (A) Schematic diagram showed that miR-4469 specifically binds to 3'-UTR of circRNA_141539. (B) Dual-luciferase assays assay results. (C) qRT-PCR showed miR-4469 was were significantly down-regulated in ESCC tissues (n=50), $*P < 0.05$, $**P < 0.01$ versus corresponding adjacent tissues. (D) Pearson's correlation showed that circRNA_141539 was negatively correlated to miR-4469 expression. (E, F) qRT-PCR showed miR-4469 were significantly decreased in circRNA_141539 OE group, and dramatically increased in sh-circRNA_141539 group. $*P < 0.05$, $**P < 0.01$ versus corresponding NC group.

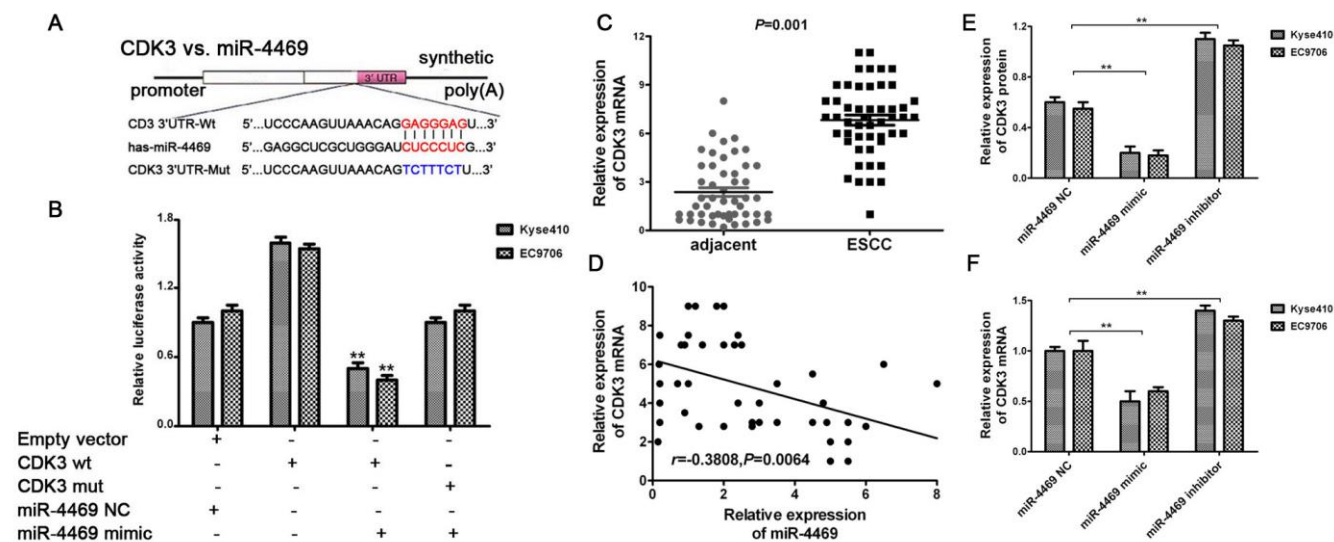


Figure 6. CDK3 was identified as a direct target of miR-4469. (A) Schematic diagram showed that miR-4469 specifically binds to the wild-type 3'-UTR sequence of CDK3. (B) Dual-luciferase assays assay results. (C) qRT-PCR results showed that CDK3 mRNA was significantly up-regulated in ESCC tissues (n=50), $*P < 0.05$, $**P < 0.01$ versus corresponding adjacent tissues. (D) Pearson's correlation showed that CDK3

mRNA was negatively correlated to miR-4469 expression. (E) Western blot and (F) qRT-PCR results showed that the expression of CDK3 proteins and mRNA were significantly decreased in miR-4469 mimic group, and dramatically increased in miR-4469 inhibitor group. * $P < 0.05$, ** $P < 0.01$ versus miR-4469 NC group.

ESCC. The results demonstrated that miR-4469 was negatively associated with TNM stage (Figure 7A; $P < 0.001$). However, no significant association was determined between histological grade (Figure 7B; $P > 0.05$). Furthermore, it was determined that CDK3 expression was positively associated with TNM stage (Figure 7C; $P < 0.001$) and negatively associated with histological grade (Figure 7D; $P < 0.001$).

Rescue assays

Rescue assays were performed to assess the relationship between circRNA_141539, miR-4469 and CDK3. Kyse510 cells were co-transfected with the circRNA_141539 OE vector and miR-4469 mimics. EC9706 cells were also co-transfected with sh-circRNA_141539 and miR-4469 inhibitors. A subsequent RT-qPCR assay revealed that circRNA_141539 overexpression promoted CDK3 mRNA expression

and cell proliferation. Furthermore, circRNA_141539 silencing inhibited CDK3 mRNA expression and cell proliferation, but this effect was reversed following miR-4469 mimic and miR-4469 mimic inhibitor treatment (Figure 8A, 8B; $P < 0.001$).

DISCUSSION

CircRNA is characterized by a covalent closed loop that lacks 5' caps and 3' tails, which is conserved and stably expressed in mammalian cells. Recent studies [11–13] have revealed that circRNAs are abnormally expressed and serve important roles in the development and progression of cancer, serving as potential diagnostic biomarkers and therapeutic targets for patients. Additionally, the function of the circRNAs-miRNA-gene axis in the development and progression of various types of cancer is being elucidated. For example, the circ_0016788/miR-486/CDK4 axis regulates hepatocellular

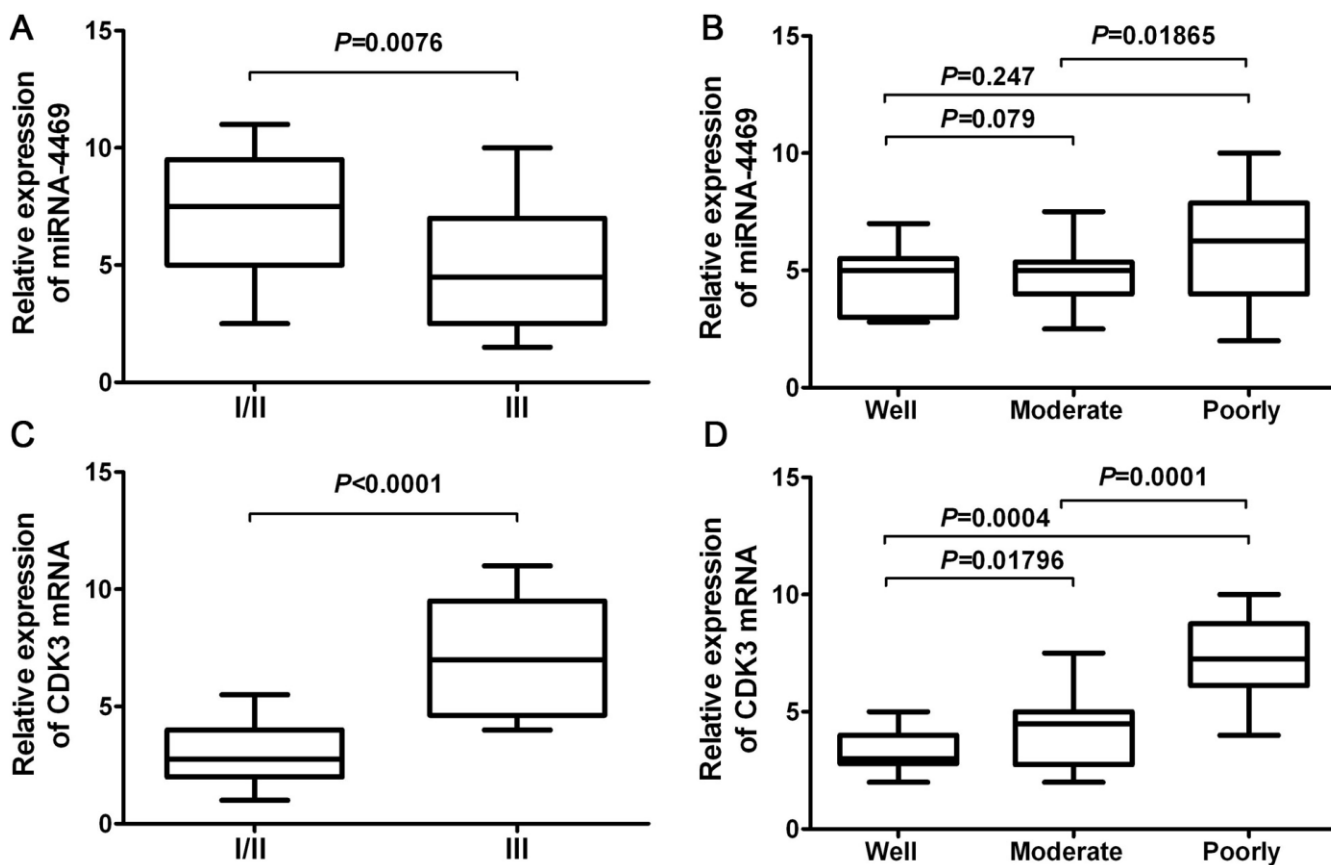


Figure 7. miR-4469 and CDK3 were both associated with clinicopathological characteristics in ESCC. (A) The association of miR-4469 with TNM stage, (B) The association of miR-4469 with histological grade, (C) The association of CDK3 with TNM stage, and (D) The association of CDK3 with histological grade.

carcinoma tumorigenesis [14, 15]. Furthermore, the circRNA_0084043/miR-153-3p/Snail axis promotes malignant melanoma progression [16, 17] and the circRNA-000911/miR-449a/Notch1 axis promotes chemotherapy resistance in breast cancer [18, 19].

To the best of our knowledge, the present study was the first to assess circRNA_141539 in the occurrence and development of ESCC. Very limited data regarding circRNA_141539 is available. However, Liu et al [20] reported that circRNA_141539 was significantly up-regulated in cervical carcinoma and was correlated with FIGO stage and pathological grade. In the present study, the results revealed that circRNA_141539 was

up-regulated and acted as an oncogenic factor in ESCC. Furthermore, high circRNA_141539 expressions were significantly associated with TNM stage, differentiation and poor prognosis, and exhibited high diagnostic value in patients with ESCC. The results indicated that circRNA_141539 may serve as a biomarker for the diagnosis and prognosis of patients with ESCC. Although the sample size of the current study included >50 tissues, large-scale clinical validation is still required. Also, *in vivo* experiments are fundamental as a mandatory step of the bench work to achieve a better correlation with the clinical implications, so further validation *in vitro* was also required in the future.

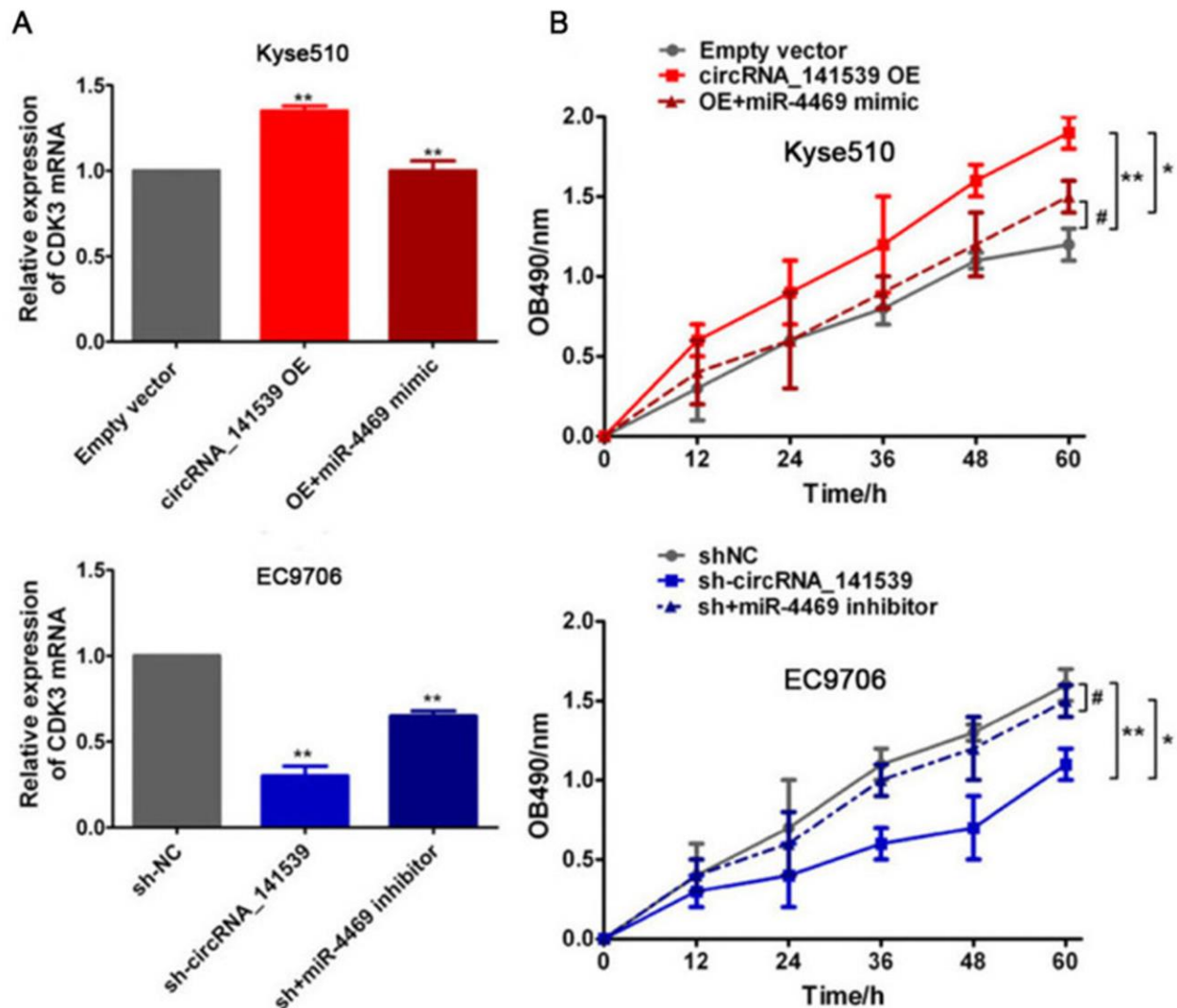


Figure 8. Rescue experiment, miR-4469 reversed the effects of circRNA_141539 on CDK3 expression and cell proliferation. (A) qRT-PCR assay showed the effects of circRNA_141539/miR-4469 axis on CDK3 mRNA expression. * $P < 0.05$, ** $P < 0.01$ versus corresponding NC group. (B) MTT assay showed the effects of circRNA_141539/miR-4469 axis on cell proliferation. * $P < 0.05$, ** $P < 0.01$ versus corresponding NC group.

It is well known that circRNA affects miRNA-target gene expression. The results of the dual-luciferase reporter assay performed in the current study identified that circRNA_141539 directly binds to miR-4469 and that CDK3 is negatively regulated by miR-4469. A previous study [21] reported that miR-4469 was significantly down-regulated in breast cancer and that miR-4469 suppressed breast cancer metastasis by inhibiting the Wnt/ β -catenin pathway. CDK3 is a member of the cyclin-dependent kinase family and is directly involved in cell cycle regulation for G1 exit and S entry [22, 23]. The activation of CDK3 leads to G1 arrest and serves an important role in cell proliferation and malignant transformation [24]. CDK3 is abnormally expressed in several types of cancer, including gastric cancer, lung cancer, liver cancer, pancreatic cancer, colorectal cancer, head and neck squamous cell carcinoma, cervical cancer and breast cancer [25]. Furthermore, CDK3 expression may be regulated by certain miRNAs in a variety of different cancers, including miR-873 [26], miR-125a-3p [27] and miR-150 [28]. Also, there are some other factors that can regulate CDK3 expression such as NFAT3, pRb and Ik3-2 gene. MicroRNAs are only one of the important parts of the regulatory mechanism of CDK3. The results of the present study indicated that miR-4469 was down-regulated and CDK3 was up-regulated in ESCC tissue. In addition, miR-4469 was associated with TNM stage and CDK3 expression was associated with TNM stage and histological grade in patients with ESCC. The rescue assays performed in the current study indicated that miR-4469 reversed the effects of circRNA_141539 on cell proliferation. The results indicated that circRNA_141539 promoted ESCC progression via the miR-4469/CDK3 pathway. However, the underlying mechanism still requires further elucidation.

CONCLUSIONS

In summary and to the best of our knowledge, the current study was the first to determine that circRNA_141539 was significantly up-regulated in patients with ESCC and that high circRNA_141539 expressions were significantly associated with TNM stage, differentiation and a poor prognosis. Additionally, circRNA_141539 was determined to have a high diagnostic value. CircRNA_141539 may serve as an oncogenic factor for patients with ESCC by sponging miR-4469 and activating the CDK3 gene. The circRNA_141539/miR-4469/CDK3 axis may represent a novel diagnostic biomarker, prognostic biomarker and therapeutic target for patients with patients. However, large-scale clinical validations and further mechanistic explorations are still required.

MATERIALS AND METHODS

Materials

Clinical samples and cell lines

ESCC tissues and adjacent non-cancer tissues (50 pairs) were obtained from patients admitted to the First Affiliated Hospital of China Medical University from February 2016 to August 2017. All tumor samples were obtained following surgery and stored in liquid nitrogen at -80°C until further use. Additionally, all samples were confirmed via histopathology and the clinicopathological features of tissue were determined (Supplementary Table 2). Patient follow-up started from the date of surgery until the disease progression or the last follow-up (median period, 22 months). In addition, the following human ESCC cell lines were purchased from the Cell Bank of Type Collection of the Chinese Academy of Sciences: Kyse410, Kyse510, EC9706, ECA109 TE7 and Het-1A. All experiments performed in the study current were approved by the Hospital Ethics Committee.

Reagents and instruments

RPMI1640, fetal bovine serum, MTT, DMSO, crystal violet, the PCR reverse transcription kit and the Transwell chamber kit were all purchased from Invitrogen (Thermo Fisher Scientific, Inc.). Anti-rabbit CDK3 primary antibodies (1:500; cat. no. sc-81836) and horseradish peroxidase (HRP)-conjugated secondary antibodies (1:1,000; cat. no. R2655) were purchased from Gibco; Thermo Fisher Scientific, Inc. and Sigma-Aldrich; Merck KGaA, respectively. Arraystar Human circRNA chip (ArrayStar; Sigma-Aldrich; Merck KGaA) detection and data analysis were performed by GeneChem, Inc. Luciferase reporter gene vectors were also synthesized and constructed by GeneChem, Inc. miR-4469 negative controls (NCs), mimics and inhibitors were synthesized and further transfected and constructed by GeneChem, Inc.

Methods

RNA extraction and circRNA chip detection

Total RNA was extracted using the RNeasy Mini kit (Qiagen GmbH). CircRNAs were subsequently enriched and linear RNAs were removed using the Rnase R. Arraystar Human circRNA chip (8x15 K; Arraystar, Inc.). The aforementioned chip was also used to identify differentially expressed circRNAs (three pairs of samples). CircRNA chip detection and data analysis were performed by GeneChem, Inc. The GEO accession number was GSE97332.

Reverse transcription-quantitative PCR (RT-qPCR)

Total RNA was isolated using the TRIzol[®] reagent. The forward (F) and reverse (R) primers utilized in RT-qPCR

were designed and synthesized by GeneChem, Inc. The sequences were as follows: circRNA_141539 F, 5'-CAGCTGTGACAGCATGATGA-3' and R, 5'-TCGGGCATCACCCGAAACAA-3'; miR-4469 F, 5'-GAATTCATCTCGACACGC-3' and R, 5'-A CTCG GCAGCACAGACAG-3'; CDK3 F, 5'-CCAGCTCTTTCGTATCTTTCGT-3' and R, 5'-TTCCTGGTCCACTTAGGGAAG-3'. RNA was reverse transcribed into cDNA using the High-Capacity Reverse Transcription kit and RT-qPCR was performed with the SYBR Green PCR Master mix system. Relative expression was calculated using the $2^{-\Delta\Delta C_t}$ method. RNA levels were normalized to GAPDH (for circRNA_141539 and CDK3 mRNA) or U6 (for miR-4469).

Cell transfection

Plasmid-mediated circRNA_141539 overexpression (full-length DNA sequence clone), vectors (circRNA_141539 OE) and short interfering RNA (shRNA) targeting circRNA_141539 (sh-circRNA_141539) were designed using the circinteractome tool (<https://circinteractome.nia.nih.gov/bin/>), synthesized and inserted into pcDNA3.1 vectors and HBLV-U6 lentivirus vectors by GeneChem, Inc. pcDNA3.1 empty vectors and sh-NCs were used as NCs. Restriction enzyme sites for EcoRI and BamHI were used to clone circRNA_141539. The concentration of sh-circRNA_141539 and circRNA_141539 OE used for transfection was 50 nM. miR-4469 mimics, miR-4469 inhibitors and the NC were also synthesized and constructed by GeneChem, Inc. Cells were transiently transfected using Lipofectamine® 2000 (Invitrogen; Thermo Fisher Scientific, Inc.), after which transfection efficiency was verified via RT-qPCR.

MTT assay

Transfected ESCC Kyse510 and ECA109 cells in the logarithmic growth phase were seeded into 96-well culture plates and incubated for 0, 12, 24, 48 and 72 h at 37° C with 5% CO₂. Following culture for various durations, MTT solution (20 µl; 2.5mg/ml) was added to each well for 4 h. Subsequently, dimethyl sulfoxide (100 µl) was added to each well to dissolve the resulting crystals. Absorbance values at 490 nm (OB490 nm) were measured using an FL600 microtiter plate reader.

Transwell invasion assay

Transfected ESCC Kyse510 and ECA109 cells in the logarithmic growth phase were digested, counted and seeded into the upper membrane of a Transwell chamber. The lower chamber was coated with fibronectin (1 mg/ml) and medium containing 20% fetal bovine serum. After culture for 24 h, cells that passed through the fibronectin layer were fixed with 2% paraformaldehyde and stained with crystal violet.

Stained cells (invading Kyse510 and ECA109 cells) were subsequently observed and imaged under an Olympus microscope (magnification, x400). The number of stained cells was counted with ImageJ 1.47 software (National Institutes of Health) in five random fields of view.

Luciferase assay

Wild-type (Wt; circRNA_141539-Wt and CDK3-Wt) or mutant (mu; circRNA_141539-Mu and CDK3-Mu) psiCHECK2 plasmids were designed and synthesized by GeneChem, Inc. Plasmids were co-transfected into HEK 293T cells with miR-4469 mimics and miR-4469 NCs according to the manufacturer's protocol. Luciferase assays were performed using a dual-luciferase reporter gene assay kit. Mu plasmids were used as controls. Luciferase activity was measured using the dual-luciferase reporter gene assay system and Renilla luciferase activity was normalized against that of firefly luciferase. The aforementioned processes were conducted by GeneChem, Inc.

Western blotting

Total protein of transfected ESCC Kyse510 and ECA109 cells in the logarithmic growth phase was obtained using RIPA buffer. Total protein concentrations were subsequently quantified using a BCA Protein assay kit. Isolated protein (50 µg) were separated via 10% SDS-PAGE, transferred to polyvinylidene difluoride membranes, blocked with 5% non-fat milk and incubated with primary antibodies against CDK3 (1:500; cat. no. sc-81836-1; Gibco; Thermo Fisher Scientific, Inc.) overnight. Samples were then incubated with HRP-conjugated secondary antibodies (1:500; cat. no. c-81836-2; Gibco; Thermo Fisher Scientific, Inc.). Resultant bands were visualized using an ECL-PLUS kit and analyzed using Image J 1.47 software.

Statistical analysis

SPSS17.0 statistical software (version 7.0) and GraphPad Prism (version 5.01) were used for statistical analysis. Experiments were repeated at least three times and data are presented as the mean ± standard deviation ($\bar{x}\pm s$; $\alpha=0.05$). The chi-square test, Kaplan-Meier method and Multivariate Cox analysis were performed to investigate the association between circRNA_141539 expression and the clinicopathologic characteristics and prognosis of patients with ESCC. Paired and independent t-tests or rank-sum tests were applied when only two groups were compared, whereas comparisons among multiple groups were analyzed via one-way analysis of variance followed by Tukey's multiple comparison test. $P<0.05$ was considered to indicate a statistically significant difference.

AUTHOR CONTRIBUTIONS

Shun Xu coordinate all aspects of research. Zheng-Hua Liu participated in most molecular and cellular experiments and manuscript preparation. Shi-Ze Yang and Wen-Ya Li was responsible for clinical samples collection and manuscript preparation. Si-Yuan Dong and Si-Yu Zhou were responsible for data analysis. All authors read and approved the final manuscript.

CONFLICTS OF INTEREST

The authors declare that they have no conflicts of interest.

FUNDING

The project received support from Chinese Postdoctoral Science Foundation (2018M640266).

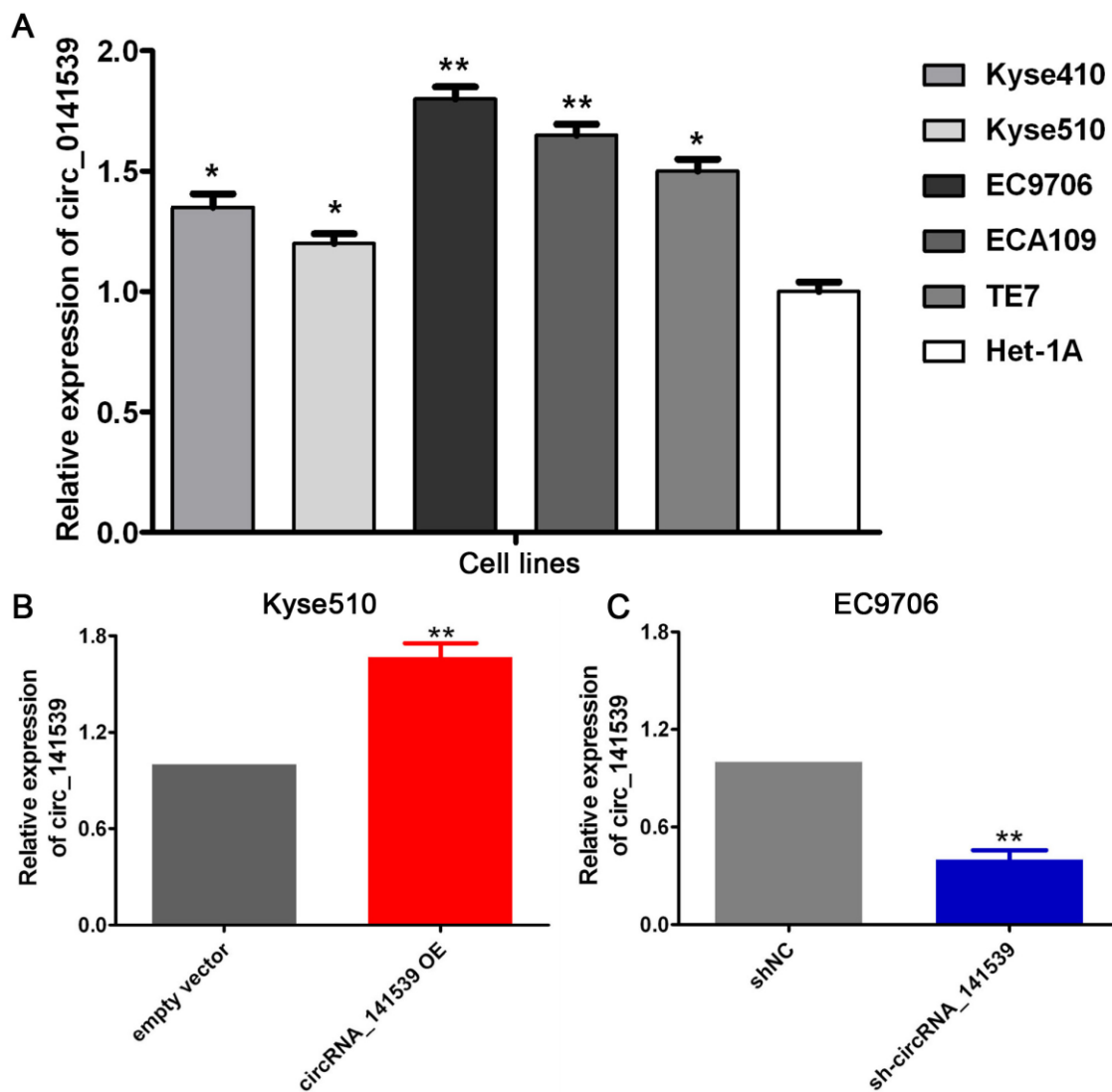
REFERENCES

1. Markar SR, Wiggins T, MacKenzie H, Faiz O, Zaninotto G, Hanna GB. Incidence and risk factors for esophageal cancer following achalasia treatment: national population-based case-control study. *Dis Esophagus*. 2019; 32:doy106.
<https://doi.org/10.1093/dote/doy106>
PMID:30809653
2. Gong L, Mao W, Chen Q, Jiang Y, Fan Y. Analysis of SPARC and TUBB3 as predictors for prognosis in esophageal squamous cell carcinoma receiving nab-paclitaxel plus cisplatin neoadjuvant chemotherapy: a prospective study. *Cancer Chemother Pharmacol*. 2019; 83:639–47.
<https://doi.org/10.1007/s00280-019-03769-7>
PMID:30643929
3. Wu YF, Chu SC, Chang BS, Cheng YT, Wang TF. Hematologic markers as prognostic factors in nonmetastatic esophageal cancer patients under concurrent chemoradiotherapy. *Biomed Res Int*. 2019; 2019:1263050.
<https://doi.org/10.1155/2019/1263050>
PMID:30834254
4. Fang S, Dai Y, Mei Y, Yang M, Hu L, Yang H, Guan X, Li J. Clinical significance and biological role of cancer-derived Type I collagen in lung and esophageal cancers. *Thorac Cancer*. 2019; 10:277–88.
<https://doi.org/10.1111/1759-7714.12947>
PMID:30604926
5. Ye Y, Xu Y, Fu Q, Shen P, Chen Y, Zheng P, Song L, Chen Y, Wang J. Enteral nutrition support does not improve PNI in radiotherapy patients with locally advanced esophageal cancer. *Nutr Cancer*. 2019; 71:223–29.
<https://doi.org/10.1080/01635581.2018.1559939>
PMID:30663378
6. Li Q, Deng C, Zhu T, Ling J, Zhang H, Kong L, Zhang S, Wang J, Chen X. Dynamics of physiological and miRNA changes after long-term proliferation in somatic embryogenesis of *Picea balfouriana*. *Trees*. 2019; 5:1–12.
<https://doi.org/10.1007/s00468-018-1793-x>
7. Niwa Y, Yamada S, Sonohara F, Kurimoto K, Hayashi M, Tashiro M, Iwata N, Kanda M, Tanaka C, Kobayashi D, Nakayama G, Koike M, Fujiwara M, Kodera Y. Identification of a serum-based miRNA signature for response of esophageal squamous cell carcinoma to neoadjuvant chemotherapy. *J Transl Med*. 2019; 17:1.
<https://doi.org/10.1186/s12967-018-1762-6>
PMID:30602370
8. Zhang M, Jia L, Zheng Y. circRNA Expression Profiles in Human Bone Marrow Stem Cells Undergoing Osteoblast Differentiation. *Stem Cell Rev Rep*. 2019; 15:126–38.
<https://doi.org/10.1007/s12015-018-9841-x>
PMID:30046991
9. Ding L, Zhao Y, Dang S, Wang Y, Li X, Yu X, Li Z, Wei J, Liu M, Li G. Circular RNA circ-DONSON facilitates gastric cancer growth and invasion via NURF complex dependent activation of transcription factor SOX4. *Mol Cancer*. 2019; 18:45.
<https://doi.org/10.1186/s12943-019-1006-2>
PMID:30922402
10. Zhang HD, Jiang LH, Sun DW, Hou JC, Ji ZL. CircRNA: a novel type of biomarker for cancer. *Breast Cancer*. 2018; 25:1–7.
<https://doi.org/10.1007/s12282-017-0793-9>
PMID:28721656
11. Guo XY, Sun F, Chen JN, Wang YQ, Pan Q, Fan JG. circRNA_0046366 inhibits hepatocellular steatosis by normalization of PPAR signaling. *World J Gastroenterol*. 2018; 24:323–37.
<https://doi.org/10.3748/wjg.v24.i3.323>
PMID:29391755
12. Xu Z, Li P, Fan L, Wu M. The potential role of circRNA in tumor immunity regulation and immunotherapy. *Front Immunol*. 2018; 9:9.
<https://doi.org/10.3389/fimmu.2018.00009>
PMID:29403493
13. Zhao Z, Wang K, Wu F, Wang W, Zhang K, Hu H, Liu Y, Jiang T. circRNA disease: a manually curated database of experimentally supported circRNA-disease associations. *Cell Death Dis*. 2018; 9:475.
<https://doi.org/10.1038/s41419-018-0503-3>
PMID:29700306

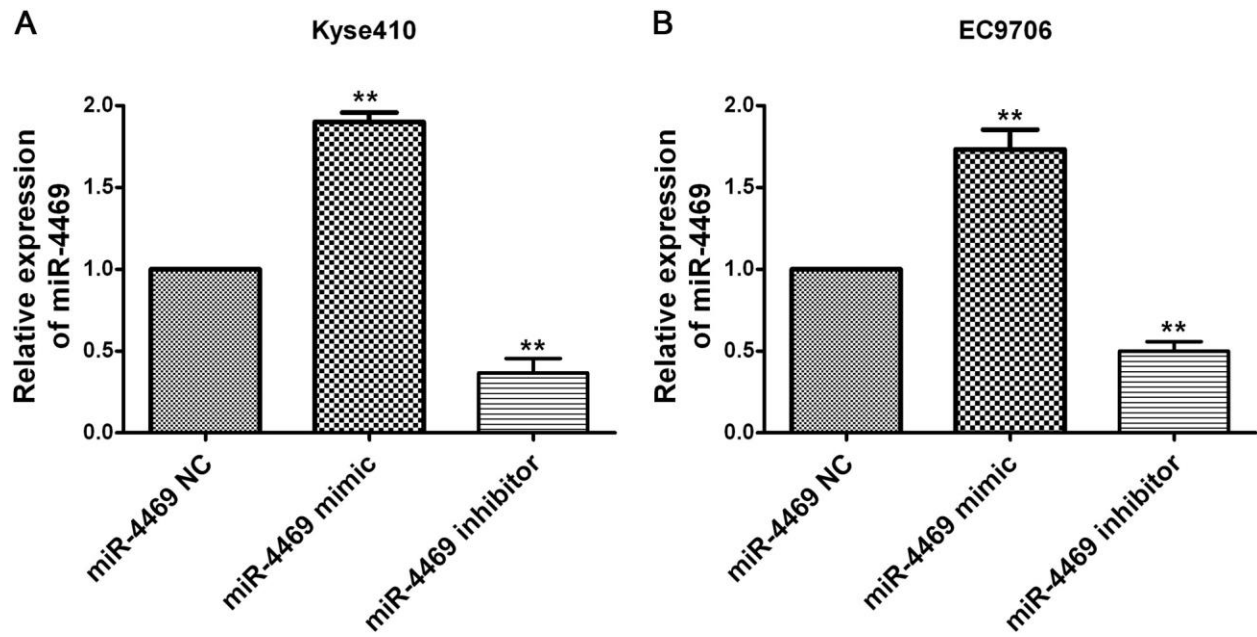
14. Fan C, Lei X, Fang Z, Jiang Q, Wu FX. CircR2Disease: a manually curated database for experimentally supported circular RNAs associated with various diseases. Database (Oxford). 2018; 2018:bay044. <https://doi.org/10.1093/database/bay044> PMID:29741596
15. Han D, Li J, Wang H, Su X, Hou J, Gu Y, Qian C, Lin Y, Liu X, Huang M, Li N, Zhou W, Yu Y, Cao X. Circular RNA circMTO1 acts as the sponge of microRNA-9 to suppress hepatocellular carcinoma progression. Hepatology. 2017; 66:1151–64. <https://doi.org/10.1002/hep.29270> PMID:28520103
16. Luan W, Shi Y, Zhou Z, Xia Y, Wang J. circRNA_0084043 promote Malignant melanoma progression via miR-153-3p/Snail axis. Biochem Biophys Res Commun. 2018; 502:22–29. <https://doi.org/10.1016/j.bbrc.2018.05.114> PMID:29777697
17. Gao D, Qi X, Zhang X, Fang K, Guo Z, Li L. hsa_circRNA_0006528 as a competing endogenous RNA promotes human breast cancer progression by sponging miR-7-5p and activating the MAPK/ERK signaling pathway. Mol Carcinog. 2019; 58:554–64. <https://doi.org/10.1002/mc.22950> PMID:30520151
18. Wang H, Xiao Y, Wu L, Ma D. Comprehensive circular RNA profiling reveals the regulatory role of the circRNA-000911/miR-449a pathway in breast carcinogenesis. Int J Oncol. 2018; 52:743–54. <https://doi.org/10.3892/ijo.2018.4265> PMID:29431182
19. Chen L, Zhang S, Wu J, Cui J, Zhong L, Zeng L, Ge S. circRNA_100290 plays a role in oral cancer by functioning as a sponge of the miR-29 family. Oncogene. 2017; 36:4551–61. <https://doi.org/10.1038/onc.2017.89> PMID:28368401
20. Liu Q, Tong Y, Sze SC, Liu WK, Lam L, Chu ES, Yow CM. Tian Xian Liquid (TXL) induces apoptosis in HT-29 colon cancer cell *in vitro* and inhibits tumor growth *in vivo*. Chin Med. 2010; 5:25. <https://doi.org/10.1186/1749-8546-5-25> PMID:20663169
21. Cao T, Xiao T, Huang G, Xu Y, Zhu JJ, Wang K, Ye W, Guan H, He J, Zheng D. CDK3, target of miR-4469, suppresses breast cancer metastasis via inhibiting Wnt/ β -catenin pathway. Oncotarget. 2017; 8:84917–27. <https://doi.org/10.18632/oncotarget.18171> PMID:29156693
22. Wright P, Kelsall J, Healing G, Sanderson J. Differential expression of cyclin-dependent kinases in the adult human retina in relation to CDK inhibitor retinotoxicity. Arch Toxicol. 2019; 93:659–71. <https://doi.org/10.1007/s00204-018-2376-8> PMID:30617560
23. Zhang Y, Yang L, Ling C, Heng W. HuR facilitates cancer stemness of lung cancer cells via regulating miR-873/CDK3 and miR-125a-3p/CDK3 axis. Biotechnol Lett. 2018; 40:623–31. <https://doi.org/10.1007/s10529-018-2512-9> PMID:29344850
24. Syn NL, Lim PL, Kong LR, Wang L, Wong AL, Lim CM, Loh TK, Siemeister G, Goh BC, Hsieh WS. Pan-CDK inhibition augments cisplatin lethality in nasopharyngeal carcinoma cell lines and xenograft models. Signal Transduct Target Ther. 2018; 3:9. <https://doi.org/10.1038/s41392-018-0010-0> PMID:29666673
25. Gao CL, Wang GW, Yang GQ, Yang H, Zhuang L. Karyopherin subunit- α 2 expression accelerates cell cycle progression by upregulating CCNB2 and CDK1 in hepatocellular carcinoma. Oncol Lett. 2018; 15:2815–20. <https://doi.org/10.3892/ol.2017.7691> PMID:29435009
26. Cui J, Yang Y, Li H, Leng Y, Qian K, Huang Q, Zhang C, Lu Z, Chen J, Sun T, Wu R, Sun Y, Song H, et al. MiR-873 regulates ER α transcriptional activity and tamoxifen resistance via targeting CDK3 in breast cancer cells. Oncogene. 2015; 34:3895–907. <https://doi.org/10.1038/onc.2014.430> PMID:25531331
27. Zheng L, Meng X, Li X, Zhang Y, Li C, Xiang C, Xing Y, Xia Y, Xi T. miR-125a-3p inhibits ER α transactivation and overrides tamoxifen resistance by targeting CDK3 in estrogen receptor-positive breast cancer. FASEB J. 2018; 32:588–600. <https://doi.org/10.1096/fj.201700461RR> PMID:28939591
28. Wang L, Xi Y, Sun C, Zhang F, Jiang H, He Q, Li D. CDK3 is a major target of miR-150 in cell proliferation and anti-cancer effect. Exp Mol Pathol. 2017; 102:181–90. <https://doi.org/10.1016/j.yexmp.2017.01.008> PMID:28108217

SUPPLEMENTARY MATERIALS

Supplementary Figures



Supplementary Figure 1. circRNA_141539 was up-regulated in ESCC cells and cell transfection. (A) qRT-PCR assay showed that circRNA_001937 was significantly up-regulated in ESCC cells, * $P < 0.05$, ** $P < 0.01$ versus Het-1A cells. (B, C) Transfection efficiency were verified by qRT-PCR. * $P < 0.05$, ** $P < 0.01$ versus corresponding NC group.



Supplementary Figure 2. Transfection efficiency of miR-4469 as determined via reverse transcription-quantitative PCR. (A) Kyse510 cells, (B) EC9706 cells. * $P < 0.05$, ** $P < 0.01$ versus corresponding NC group.

Supplementary Tables

Supplementary Table 1. The top 10 significantly up/down -regulated circRNAs.

circRNA	P-value	FC	Regulation	Source	Chrom	Type	GeneSymbol
hsa_circRNA_035855	0.03615	7.64374	up	circBase	chr15	exonic	PIF1
hsa_circRNA_400075	0.01663	7.60343	up	circBase	chr3	intronic	RPL29
hsa_circRNA_141539	0.02044	7.58017	up	25070500	chr6	intronic	C6orf106
hsa_circRNA_101337	0.00195	6.93262	up	circBase	chr14	exonic	HEATR5A
hsa_circRNA_100882	0.04987	6.12234	up	circBase	chr11	exonic	STARD10
hsa_circRNA_031267	0.00767	5.91116	up	circBase	chr14	exonic	PSMB5
hsa_circRNA_407088	0.04430	5.20779	up	25070500	chr8	exonic	EYA1
hsa_circRNA_051241	0.02310	4.90222	up	circBase	chr19	exonic	RPS19
hsa_circRNA_016458	0.02619	4.89278	up	circBase	chr1	exonic	KCNK2
hsa_circRNA_008410	0.03107	4.59272	up	circBase	chr17	intronic	PGS1
hsa_circRNA_405241	0.01602	9.10119	down	25070500	chr14	exonic	PPM1A
hsa_circRNA_091722	0.03101	9.09461	down	circBase	chrX	exonic	MAGEA3
hsa_circRNA_404987	0.01651	8.79459	down	25070500	chr12	overlapping	ERGIC2
hsa_circRNA_100696	0.03132	8.08075	down	circBase	chr10	exonic	PPAPDC1A
hsa_circRNA_001015	0.04874	7.97024	down	circBase	chr2	intronic	USP34
hsa_circRNA_406058	0.02140	7.06160	down	25070500	chr2	intronic	RNU2-22P
hsa_circRNA_003613	0.03224	6.83637	down	circBase	chr1	exonic	NRD1
hsa_circRNA_089652	0.02254	6.12656	down	circBase	chr9	exonic	TPRN
hsa_circRNA_100899	0.02291	5.81777	down	circBase	chr11	exonic	INTS4
hsa_circRNA_102832	0.04090	5.61607	down	circBase	chr2	exonic	ARL6IP6

FC, fold change.

Supplementary Table 2. Patients' clinicopathologic characteristics.

Characteristics	Cases (n)
Median age (years)	68 y
Gender	
Male	35
Female	15
Pathological types	
Adenocarcinoma	0
Squamous cell carcinoma	50
TNM stage	
I/II	12
III	38
Pathological stage	
High and middle	19
Low	21