#### **Research Paper**

## A novel oral nutritional supplement improves gait speed and mitochondrial functioning compared to standard care in older adults with (or at risk of) undernutrition: results from a randomized controlled trial

## Pol Grootswagers<sup>1</sup>, Ellen Smeets<sup>1</sup>, Antwi-Boasiako Oteng<sup>1</sup>, Lisette de Groot<sup>1</sup>

<sup>1</sup>Division of Human Nutrition and Health, Wageningen University, Wageningen, The Netherlands

Correspondence to: Pol Grootswagers; email: pol.grootswagers@wur.nlKeywords: malnutrition, muscle, walking performance, ursolic acid, mitochondriaReceived: December 10, 2020Accepted: March 23, 2021Published: April 2, 2021

**Copyright:** © 2021 Grootswagers et al. This is an open access article distributed under the terms of the <u>Creative Commons</u> <u>Attribution License</u> (CC BY 3.0), which permits unrestricted use, distribution, and reproduction in any medium, provided the original author and source are credited.

## ABSTRACT

Undernutrition in older adults is mainly addressed by oral nutritional supplements, which do not affect physical functioning. In this study, we tested a novel oral nutritional supplement that included whey and casein protein, ursolic acid, free branch-chained amino acids and vitamin D against a standard supplement. We included older adults (>65y) with (or at risk of) undernutrition (n=82) and randomized them to 12 weeks of novel or standard supplement.

Both groups showed significant increases in body mass. No within or between-group differences in lean body mass were observed. Fat mass increased significantly more in the standard than the novel supplement group (time\*treatment effect P=0.045). The novel supplement group showed a larger improvement in walking performance on distances of 4m (treatment x time interaction P=0.048) and 400m (treatment x time interaction P=0.038) than the standard treatment group. Gene sets related to mitochondrial functioning and oxidative phosphorylation were upregulated in the novel supplement group and downregulated in the standard supplement group.

We conclude that a 12-week intervention with the novel supplement improved walking performance both during short and long distance as compared to a standard supplement, which can largely be explained by increased mitochondrial functioning in the group receiving the novel supplement.

## **INTRODUCTION**

Undernutrition is a medical condition that is highly prevalent in older adults [1] and is often accompanied by a loss of skeletal muscle mass and impaired physical functioning [2]. Undernutrition is a prevalent comorbidity of medical conditions, including cancer [3], chronic obstructive pulmonary disease [4] and COVID-19 [5] and is associated with compromised survival outcomes [3].

Undernutrition is mainly addressed by oral nutritional supplements (ONS). These supplements are often

energy and protein-dense and contain a wide range of macronutrients and micronutrients such that they form complete nutrition [6]. From pooled results of 62 studies, Milne et al. concluded that ONS show a small but consistent increase in body weight [7] when used during a period of 12 weeks. On the other hand, these authors concluded that ONS do not improve physical functioning and only slightly increase arm muscle circumference, which is a measure of muscle and fat tissue. Improving a patient's physical functioning via ONS would be very desirable, as compromised physical functioning greatly affects their quality of life [8, 9].

The absence of clear effects on muscle mass and physical functioning is not surprising given the current formulation of present-day supplements. Many ONS contain only casein protein, while whey protein has shown to be superior to casein protein in promoting skeletal muscle anabolism [10, 11]. This superiority of whey over casein is mainly accredited to its higher content of leucine, which by itself can increase muscle protein synthesis on the short term [12–14]. However, long-term interventions with only leucine supplementation have failed to show beneficial effects on muscle protein synthesis [15] or, indeed, physical functioning. An explanation for the lack of longterm benefits might be that administration of only leucine decreases plasma concentrations of valine and isoleucine, which makes their availability rate-limiting for muscle protein synthesis [16]. This issue might be circumvented by supplementing a mixture of valine, isoleucine and leucine (together forming the branched-chain amino acids, BCAAs). Recent human and mouse gene expression studies identified other compounds with promising physiological potential, including ursolic acid (UA) [17]. UA is a pentacyclic triterpenoid molecule that naturally occurs in a variety of fruits and herbs. Data from gene expression studies show that UA downregulates the muscle atrophy-inducing genes Muscle RING finger 1 (MuRF-1) and Atrogin-1, while it upregulates insulin-like growth factor 1 (IGF-1) signalling [17]. In a mouse model, a 5-week diet enriched with 0.27% UA resulted in increased quadriceps weight and grip strength compared to a control diet [17]. In another mouse study, 2-week diets with 80 and 240 mg/kg UA improved endurance capacity [18].

Recently, several investigators have used ONS products with altered compositions to address the issue of the poor efficacy of current products. However, while some promising results have been reported, these were either not yet proven to be superior over existing products in head-to-head studies or only effective in combination with exercise [19, 20]. While exercise has been shown to improve muscle mass and physiological performance, there is a clinical need for strategies that are feasible under various limiting conditions. Hence, we set out to test a novel whey-based ONS, high in leucine and vitamin D that previously was found to provide additional benefits [19, 20] and reinforced with UA that may affect muscle metabolism [17]. This novel ONS was tested in a calorically restricted mouse model and found to preserve muscle mass while affecting regulatory pathways [21]. In this study, we compared the effects of this novel supplement with a standard supplement on body composition, physical functioning, blood markers, and skeletal muscle gene and protein expression in older adults with (or at risk of) undernutrition without changing their activity pattern and without additional exercise.

## **MATERIALS AND METHODS**

#### Study design

The ProMO-Study (PROtein supplementation in Malnourished Older adults) was a randomized, standard-care controlled, open-label trial conducted at Wageningen University, The Netherlands, between July 2016 and August 2017. The primary aim of the study was to assess the effect of 12-weeks supplementation with a novel supplement on lean body mass. The study has been performed in accordance with the 1964 Declaration of Helsinki ethical standards and was approved by the medical and ethical committee of Wageningen University registered and at clinicaltrials.gov under NCT02683720.

#### **Study population**

Participants were recruited via dieticians, geriatric outpatient clinics of two hospitals (Rijnstate, Arnhem, The Netherlands and Gelderse Vallei, Ede, The Netherlands), the volunteer database of Wageningen University, and via advertisements in local and online media. Interested participants were, after obtaining informed consent, screened for eligibility during a home visit. We included participants aged 65 years and older, with present (risk of) undernutrition defined as a score below 12 on the Mini Nutritional Assessment Tool short form (MNA-sf). Exclusion criteria were an expected life-expectancy of <12 months, mental state incompatible with proper study conduct, performing over 2 h /w of resistance exercise, unstable organ failure, impaired kidney function (estimated glomerular filtration rate (eGRF) <30 ml/min /1.73m<sup>2</sup>, measured at baseline), allergy or sensitivity to milk proteins, chronic corticosteroid use, use of diabetes medication including metformin, change in medication use in the previous three months, use of antibiotics in the previous two months, use of oral nutritional supplementation in the previous three months, and use of >21 alcohol units per week. Full medication records of all participants were enquired, and any changes in medications during the trial were documented.

#### Sample size

Sample size was calculated by using G-Power (G-Power Version 3.1.9.2. Kiel, Germany). To find a 1.0 kg difference in lean body mass between novel and standard supplement after 12 weeks, with a standard deviation of 1.4 kg [22], we calculated that 64 participants provide a power of 80% at a two-sided alpha of 0.05. We assumed a drop-out rate of 20% and an after-baseline exclusion for impaired kidney function of 1.5%, leading to a total sample size of 82 participants.

## Randomization

Participants were randomized by an independent researcher using a SAS-program (SAS Institute, Cary, NC) in permuted blocks of 4 participants, in a 1:1 ratio to novel or standard supplement. The study was openlabel: the supplements of the novel and the standard supplement group differed in appearance and taste, but all participants assumed that they were given a product with novel characteristics.

## **Study products**

The novel supplement group consumed two portions of the novel supplement (Vital01, VitalNext B.V., Wageningen, The Netherlands) per day, each portion consisting of 63 grams of powder to be dissolved in a liquid of choice. The standard supplement group consumed two 200 ml bottles of Nutridrink (Nutridrink, Nutricia Advanced Medical Nutrition, Danone, Hoofddorp, The Netherlands) per day. The daily doses of the novel and the standard supplement product contained equal amounts of energy, carbohydrates, fats and protein, but differed in the type of protein and the amount of BCAAs, vitamin D and UA (see Table 1 for the nutritional content). Participants were advised to consume products after breakfast and lunch, but deviation from this advice was allowed to maximize compliance. On measurement days, participants were not allowed to consume products prior to the university visit.

## Study visits

Participants visited the Human Nutrition Research Unit of Wageningen University on three occasions (at week 0, 6 and 12). Participants arrived in the morning after a light breakfast. Participants were free to consume a light breakfast of choice but were instructed to use exactly the same breakfast at the follow-up visits, so without consuming the supplements. At all three visits, body composition was assessed, and blood was collected. At all study visits, blood collection was always carried out before any other outcome measurements. At visit 1 and 3, additional measurements of physical function were performed, and vastus lateralis tissue was collected in qualified subjects (see below).

## **Body composition**

The primary outcome of this study was lean body mass, assessed via dual-energy x-ray absorptiometry (DXA, Lunar Prodigy Advance; GE Health Care, Madison, WI, USA). Fat mass and appendicular lean mass were additionally quantified via DXA. Phase angle and changes in intra- and extracellular water were measured by multi-frequency bioimpedance vector analysis (BIVA, SFB7, Impedimed Limited, Pinkeba, QLD, Australia). Height was measured to the nearest 0.1 cm, at baseline, using a stadiometer. Participants measured their body weight daily before breakfast at home, on commercial weighing scales (König HC-PS100N, NEDIS, 's Hertogenbosch, The Netherlands), to the nearest 0.1 kg. Bodyweight was also assessed during visits to the nearest 0.1 kg, with a calibrated weighing scale (ED-6-T; Berkel, Rotterdam, The Netherlands).

## Strength

Isometric knee extension and knee flexion strength (in Newton) were measured by handheld dynamometry (MicroFET2, HOGGAN Scientific LLC, Salt Lake City, UT, USA). Participants were seated on an examination table, with their knees flexed at a 90degree angle. The examiner was seated against the wall provided standardized for stability, verbal encouragements and applied counterforce to the dynamometer. The dynamometer was placed just above the ankle joint, at the anterior side of the leg for extension measurements and the posterior side for flexion measurements. Participants were instructed to gradually increase their force within the first second after the standardized countdown ('3-2-1-GO'). After this second, the participants provided maximum voluntary force for up to 4 seconds. For extension and flexion, participants started with one familiarisation trial, followed by six repetitions alternating between legs. Peak dominant and non-dominant flexion and extension force were used for analysis.

Handgrip strength was assessed by hydraulic dynamometry (Jamar, Jackson, MI, USA) to the nearest kg while participants were seated on a chair without armrests, with their arms flexed at a 90-degree angle. Each hand was measured three times in an alternating fashion. The highest readings of dominant and non-dominant handgrip strength were used for analysis.

## **Physical performance**

Physical performance was assessed via the short physical performance battery (SPPB), which consist of (1) a 4-meter usual gait speed walking test, (2) a repeated chair rise test and (3) a balance test. On all three tests, a score between 0 and 4 was given following the original SPPB protocol [23]. The walking performance was assessed with the 400-meter walk test. Participants were instructed to walk 20 laps (20 m per lap, 400 m in total), at their usual gait speed, without using walking aids. Participants were allowed to take rest breaks if needed. Time (in seconds) to complete the 400 m was used for analysis.

	Standard supplement	Novel supplement
Energy (kcal)	600	586
Fat (g)	23	23
Carbohydrate (g)	74	65
Protein (g)	24	22
Of which casein (g)	24	11
Of which whey (g)	0	11
Free branched-chain amino acids (g)	0	7
Vitamin D3 (µg)	4.4	10.8
Ursolic acid (mg)	0	206

Table 1. The nutritional content of the study products per daily prescription (two portions).

#### **Blood collection**

At all three visits, phlebotomists drew two 3 ml serum tubes, one 5 ml EDTA-containing tube and one 3 ml Li-Heparin tube. The blood in serum tubes was allowed to clot at room temperature for 30 minutes. All tubes were centrifuged to separate plasma or serum. EDTA-plasma was divided over four 0.5 ml tubes, which were stored at -80 degrees until analysis. Serum and Li-Heparin tubes were transported to an external laboratory (Hospital Gelderse Vallei, Ede, The Netherlands) for same-day analysis. Results of kidney function (estimated glomerular filtration rate, eGFR) were obtained on the same day to immediately exclude participants when eGFR dropped below <30 ml/min /1.73m<sup>2</sup>. Levels of vitamin D (by Liquid chromatography-mass spectrometry), IGF-1 (by Luminescence-enhanced immuno-enzymatic assay) and albumin (by bromocersol purple method) were measured at the laboratory of Hospital Gelderse Vallei.

At the first and last visit, additional blood was collected in 9 ml citrate tubes for immunological assessments. These tubes were transported to an external laboratory (Sanquin, Amsterdam, The Netherlands), where peripheral blood mononuclear cells (PBMCs) were isolated by Ficoll-Paque separation, washed and counted. PBMC composition (T-cells, B-cells, monocytes and natural killer (NK) cells) was assessed by flow cytometry. PBMCs were stimulated (in triplicate) with a mix of Tetanus Toxoid, Tubulin PPD and Candida Albicans to trigger a memory reaction of T-cells. Production of interferon-gamma (IFN $\gamma$ ) and interleukin-13 (IL-13) was measured in supernatants by enzyme-linked immunosorbent assays.

#### Vastus lateralis tissue collection

Vastus lateralis tissue biopsies were taken under local anaesthesia, by using a Bergström needle, in a subgroup

of n=36 participants who were willing to undergo a muscle biopsy and were not using anticoagulants. One part of the collected tissue was immediately rolled until dry, checked for quantity and type of tissue, and snapfrozen in liquid nitrogen for MicroArray analysis. A second part of the collected tissue was adhered to an object-glass with Tissue Tek (Sakura Tissue Tek, Alphen a/d Rijn, the Netherlands) and frozen in thawing isopentane for Western Blot-analysis. Samples were frozen at -80 degrees until analysis. In n=21participants, the tissue collection was successful both at baseline and after 12 weeks. We used these samples for the microarray analysis. In n=15 of these n=21participants, enough tissue was collected to perform Western Blot analyses to assess protein expression.

#### **RNA** isolation and microarray processing

RNA was purified from muscle biopsies using TRIzol (Life Technologies, Bleiswijk, the Netherlands), followed by an additional round of purification with RNeasy Microkit columns (Qiagen, Venlo, the Netherlands). The RNA quality was assessed using RNA 6000 nanochips on the Agilent 2100 bioanalyzer (Agilent Technologies, Amstelveen, the Netherlands). Total RNA (100 ng) was labelled using an Affymetrix WT plus reagent kit and hybridized to whole-genome GeneChip Human Gene 2.1 ST arrays coding 25.088 genes and transcripts (Life Technologies, Bleiswijk, the Netherlands). Sample labelling, hybridization to chips and image scanning was performed according to the manufacturer's instructions.

#### Microarray data analysis

Microarray analysis was performed using MADMAX pipeline for statistical analysis of microarray data [24]. Quality control was performed, and all arrays met our criteria. For further analysis, a custom annotation was

used based on reorganized oligonucleotide probes, which combines all individual probes for a gene [25]. Expression values were calculated using the robust multichip average (RMA) method, which includes quantile normalization [26]. Significant differences in expression were assessed using paired Intensity-Based Moderated T-statistic (IBMT [27]). Gene set enrichment analysis was performed with ClusterProfiler [28], based on ranked gene lists of three contrasts:  $\Delta$ gene expression week 0 to week 12 in the standard supplement group,  $\Delta$  gene expression week 0 to week 12 in the novel supplement group, and the  $\Delta$  of these  $\Delta$ s to assess between-group differences over time. Overlaps in gene sets were calculated via the same method used in Migliavacca et al.:

(OL =  $2 \times c/(n+m) \times 100$ , where *n* and *m* are the sizes of the two gene sets and *c* is the genes in common) [29].

All microarray data are MIAME compliant and have been submitted to the Gene Expression Omnibus (identifier: GSE136395).

## Western blots

Proteins were isolated from the vastus lateralis tissue biopsies by homogenizing the biopsies in ice-cold RIPA Lysis, and Extraction Buffer (25 mm Tris-HCl, pH 7.6, 150 mm NaCl, 1% Nonidet P-40, and 0.1% SDS; Thermo Fisher Scientific, Rockford, II, USA) supplemented with protease and phosphatase inhibitors (Roche Diagnostics, Almere, The Netherlands). The protein lysates were quantified using the bicinchoninic assay (ThermoFisher) acid according to the manufacturer's protocol. Protein lysates (12 µg of protein/well) were loaded and run on 8-16% Criterion gels (Bio-Rad, Veenendaal, The Netherlands), transferred onto PVDF membrane, blocked and probed with specific antibodies. Membranes were incubated overnight with primary antibodies at 1:1000 dilutions for AMPK, p-AMPK, TFAM (respectively #2603, #2535, #7495, Cell Signaling Technology, Danvers, MA, USA), and PGC1a (#PA5-72948, ThermoFisher Scientific), and were followed by secondary antibody incubation with anti-rabbit IgG HRP from goat (#AP187P, Merck, Darmstadt, Germany) at 1:2500 dilution. Blocking and the incubation of primary and secondary antibodies were all performed in TBS, pH 7.5, 0.1% Tween 20 (TBS-T), and 5% (w/v) skimmed milk. Before and after the secondary antibody incubation, membranes were washed three times for 10 min each with TBS-T. Quantification was performed with the ChemiDoc MP system (Bio-Rad) and Clarity ECL substrate (Bio-Rad). GAPDH served as a loading control.

Participants were instructed to keep their background diet unchanged during the study. Participants filled out a 2-day food record on the two days preceding the baseline visit and on the same two days before the final visit. Additional interviews were performed by trained dietitians, using models to estimate portion size, with a focus on food products high in energy, protein, calcium or vitamin D. Nutrient intake was calculated by using the Dutch food composition database [30].

## Physical activity

All participants were instructed to keep their level of physical activity equal during the study period. In a subset of n=27, physical activity was measured by accelerometry (ActiGraph GTX3, 2009, Pensacola, FL, USA). Participants wore the accelerometer on an elastic belt on the hip for 14 consecutive days during all waking hours, except during bathing or swimming. The accelerometers measured acceleration and deceleration in 3 spatial dimensions, which facilitates the calculation of vector magnitude. The epoch interval for the accelerometer was set at 60 seconds. ActiGraph version 6.13.3 (ActiGraph, Pensacola, FL USA) was used to initialize and synchronize the accelerometers. Participants who recorded less than 10 hours of wear time per day or had data for less than four days were excluded from the analyses (n=3). Non-wear time was defined as any period of consecutive zero-counts for a minimum of 20 minutes. Data were averaged and expressed in counts per minute (CPM).

## Compliance

Compliance was measured via intake calendars and empty package count. Empty packages were collected during three home visits during the study period. At these visits, also new study products were delivered, and any issues regarding protocol adherence were evaluated. Participants rated products weekly on taste, smell and satiety on a 100 mm visual analogue scale. Participants were asked to report all side effects and to record every adverse event in a provided diary. Adverse events were further discussed with an independent physician, who also assessed the probability of a relation with the study products.

## Statistical analysis

Data were analyzed by intention to treat, with  $\alpha$  set at 5%. Normality was assessed via visual inspection of QQ-plots. To test for changes over time, we used linear mixed models with time, treatment and time\*treatment interaction as fixed effects and a random intercept for subjects. Correlated errors within repeated

measurements were modelled with an autoregressive covariance structure. All models were adjusted for gender and height, and models for knee extension and flexion also for the observer, as this measure is especially prone to inter-examiner bias [31]. Additional adjustment for age and BMI was performed if it improved Akaike's Information Criterion. Baseline characteristics are presented as crude mean ± SD for normally distributed variables and median (p25-p75) for skewed variables. For changes over time, adjusted means plus 95% confidence intervals are presented. We used Bonferroni adjustment to account for multiple posthoc comparisons. Changes in protein expression and immunological responses were assessed via nonparametric tests (sign test for paired comparisons and Wilcoxon-Mann-Whitney for comparisons between independent samples). All analyses were performed in SAS 9.4 (SAS Institute, Cary, NC), and graphs and figures were created using GraphPad Prism 5 (GraphPad Software Inc., San Diego, CA, USA).

## **RESULTS**

#### **Participants**

A total of 82 participants enrolled in the trial between August 2016 and May 2017, with the final measurement performed in August 2017. A total of 12 participants did not complete the full study period, of which one dropped-out before baseline measurements. Therefore, data of 81 participants were used for the modified intention to treat analyses (see Figure 1 for flow chart). Participant characteristics were comparable between the study groups (Table 2). The range of MNA-sf scores (5-11) and the median MNA-sf scores (10) were the same in each group. Also, MNA-sf scores were comparable amongst all EWGSOP2 [32] sarcopenia classifications, except for severe sarcopenia. The five participants with severe sarcopenia were equally distributed over the two treatment arms (Supplementary Table 1).

#### **Body composition**

Self-measured body weight increased in a similar manner in both treatment arms (P-value for treatment x time interaction >.05), in the novel supplement group from 61.6 kg (95% CI, 59.3-63.8) to 63.2 kg (95% CI, 60.9-65.4) and in the standard supplement group from 62.1 kg (95% CI, 59.9-64.3) to 63.8 kg (95% CI, 61.6-66.0). No within, or between-group differences in total lean body mass or appendicular lean body mass were observed (time\*treatment effects P>0.05, Figure 2A). Fat mass increased differentially between the two treatment arms (time\*treatment effect P=0.045, Figure 2). The standard supplement group showed a larger increase in fat mass (from 13.3 kg, 95% CI, 11.2-15.4 to

14.9 kg, 95% CI, 12.8-17.0) than the novel supplement group (from 13.9 kg, 95% CI, 11.7-16.1 to 15.0 kg, 95% CI, 12.8-17.2). The proportion of fat mass gain as part of total weight gain was 87.7 % in the standard supplement group and 79.5 % (Figure 2B). Phase angle tended to increase more in the standard supplement group (from  $4.7^{\circ}$ , 95% CI, 4.5-4.9 to  $4.9^{\circ}$ , 95% CI, 4.7-5.1) than in the novel supplement group (from 4.8°, 95% CI, 4.6-5.0 to 4.9°, 95% CI, 4.7-5.1, treatment x time interaction P=0.07).

#### **Physical function**

The performance on the walk tests of 400 m and 4 m changed differentially over the two treatments arms during the study period in favour of the novel supplement group (400m, treatment x time interaction P=0.038; 4m, treatment x time interaction P=0.048; Figure 3). Time needed to complete the 400 m walk test changed from 347 s (95% CI, 316-378) to 340 s (95% CI, 308-372) in the novel supplement group, and from 369 s (95% CI, 338-400) to 386 s (95% CI, 355-417) in the standard supplement group. Time needed to complete the 4 m walk test changed in the novel supplement group from 4.1 s (95% CI, 3.7-4.5) to 3.8 s (95% CI, 3.4-4.2), and in the standard supplement group from 4.4 s (95% CI, 4.0-4.8).

Non-dominant knee extension increased in the standard supplement group from 296 N (95% CI, 266-325) to 334 N (95% CI, 305-363) and did not change in the novel supplement group (315 N, 95% CI, 288-342 to 322 N, 95% CI, 293-351) following the 12-week intervention (treatment x time interaction P=0.058). Dominant knee extension, and knee flexion of both legs, did not change within or between the treatment arms. Moreover, no improvements were observed in handgrip strength, chair rise test, or total SPPB score for both groups (Table 3). Activation of vastus lateralis, biceps femoris and rectus femoris as measured by surface electromyography did not differ within or between groups.

#### mRNA expression

The gene sets that were differentially enriched with an FDR<1.5E-8 and overlap of <75% are presented in Figure 4. The largest between-group differences in gene set enrichment between week 0 and week 12 were observed in pathways related to oxidative phosphorylation, mitochondrial functioning, and mitochondrial biogenesis out of all C2 curated gene sets that were tested. Results from Western Blot analyses on protein expression are presented in Supplementary Materials and in Supplementary Figure 1. The fold change expression of PGC1- $\alpha$  was 4.7 ± 1.8 in the novel

supplement group and  $2.2 \pm 0.6$  in the standard supplement group, with no significant between treatment differences (P=0.685, Figure 5).

#### Dietary intake and physical activity

Daily energy intake at baseline was  $2090 \pm 452$  kcal in the novel supplement group and  $2261 \pm 548$  kcal in the

standard supplement group. Energy intake increased by 409  $\pm$  95 kcal/d in the novel supplement group (P<0.001) and by 284  $\pm$  87 kcal/d in the standard supplement group (P=0.01). Protein intake increased in both groups: in the novel supplement group from 83.4  $\pm$  4.3 g/d to 102.8  $\pm$  4.7 g/d ( $\Delta$  19.4  $\pm$  4.4 g/d, P<0.001) and in the standard supplement group from 83.8  $\pm$  4.3 g/d to 100.7  $\pm$  4.3 g/d ( $\Delta$  16.9  $\pm$  4.0 g/d, P<0.001).





	Novel	Standard supplement
	supplement (n=40)	(n=41)
Age, mean ± SD, y	$74.5\pm6.2$	$73.9\pm6.8$
Female, n (%)	22 (56%)	19 (44%)
Weight, mean $\pm$ SD, kg	$61.6 \pm 10.4$	$63.9 \pm 10.8$
Height, mean $\pm$ SD, cm	$167 \pm 9$	$171 \pm 8$
BMI, mean $\pm$ SD, kg/m <sup>2</sup>	$22 \pm 3$	$22 \pm 3$
MNA-sf, median (IQR), score	10 (9-11)	10 (9-11)
Undernutrition (<9), n (%)	3 (7.3%)	6 (14.6%)
Risk of undernutrition (8-11), n (%)	37 (92.7%)	35 (85.4%)
Phase Angle, mean $\pm$ SD, $^{\circ}$	$4.8 \pm 0.8$	$4.7\pm0.7$
Total lean mass, mean $\pm$ SD, kg	$45.0\pm8.5$	$48.2\pm9.1$
Appendicular lean mass, mean ± SD, kg	$18.8\pm4.2$	$20.6\pm4.7$
Total fat mass, mean $\pm$ SD, kg	$14.2\pm6.4$	$13.1 \pm 7.9$
Protein intake, mean ± SD, g/kg/day	$1.4 \pm 0.4$	$1.3 \pm 0.4$
Energy intake, mean ± SD, kcal/day	$2090\pm452$	$2261\pm548$
Walk time 4m, mean $\pm$ SD, s	$4.2\pm0.9$	$4.4 \pm 1.4$
Walk time 400m, mean $\pm$ SD, s	$355\pm76$	$362\pm102$
Dominant handgrip strength, mean $\pm$ SD, kg	$22 \pm 10$	$23 \pm 10$
Non-dominant handgrip strength, mean $\pm$ SD, kg	$20 \pm 10$	$21 \pm 10$
Dominant knee flexion strength, mean $\pm$ SD, N	$193 \pm 70$	$188 \pm 70$
Non-dominant knee flexion strength, mean $\pm$ SD, N	$184 \pm 69$	$185 \pm 73$
Dominant knee extension strength, mean ± SD, N	$330 \pm 112$	$325 \pm 94$
Non-dominant knee extension strength, mean $\pm$ SD, N	$312 \pm 99$	$311 \pm 97$
Chair rise test time, mean $\pm$ SD, s	$11.5 \pm 4.9$	$10.8\pm3.2$
SPPB Score, median (IQR)	11 (10-12)	12 (10-12)

#### Table 2. Baseline characteristics of the two study arms.

MNA-sf, Mini Nutritional Assessment tool, short-form; IGF-1, Insulin-like Growth Factor 1; SPPB, Short Physical Performance Battery.

Physical activity was equal between groups at baseline ( $\Delta$  138 ± 74 CPM, P=0.498) and end of follow-up ( $\Delta$  124 ± 88 CPM, P=1.000). No change in physical activity was observed in the novel supplement group ( $\Delta$  -33 ± 62 CPM, P=1.000) and the standard supplement group ( $\Delta$  -19 ± 47 CPM, P=1.000).

#### **Blood markers**

The pre- and post-treatment values of blood markers and PBMC composition are presented in Table 4. Mean baseline vitamin D levels were 82.7  $\pm$  4.2 nmol/l in the novel supplement group and 77.0  $\pm$  4.1 nmol/l in the standard supplement group ( $\Delta$  5.7  $\pm$  5.9 nmol/l, P=1.000). Both groups showed similar, highly significant increases in vitamin D status throughout the study period. Status levels increased on average with 13.3  $\pm$  3.0 nmol/l (P<0.001) in the novel supplement group and with 12.7  $\pm$  2.7 nmol/l (P<0.001) in the standard supplement group. IGF-1 levels were similar between groups at baseline (19.2  $\pm$  0.9 nmol/l vs 16.2  $\pm$  0.9 nmol/l,  $\Delta$  3.0  $\pm$  1.3

nmol/l, P>0.05). IGF-1 levels decreased in the novel supplement group ( $\Delta$  -0.9 ± 0.6 nmol/l, P>0.05), while they increased in the standard supplement group ( $\Delta$  1.3 ± 0.6 nmol/l, P>0.05), resulting in a significant time\*treatment effect (P=0.025).

Blood creatinine levels decreased from 77.0  $\pm$  2.8 µmol/l to 73.8  $\pm$  2.9 µmol/l in the novel supplement group, while the standard supplement group showed a minor increase from 78.4  $\pm$  2.8 µmol/l to 79.3  $\pm$  2.8 µmol/l (time\*treatment interaction P=0.004). Albumin decreased in the standard supplement group with 1.0  $\pm$  0.4 g/l (P=0.08) and in the novel supplement group with 2.2  $\pm$  0.4 g/l (P<.0001).

#### **Immune function**

Experiments on PBMCs were performed in n=69 participants who completed the before and after blood collection. The composition of the PBMCs changed in both groups. In the standard supplement group, a slight

increase in %T-cells ( $61 \pm 11\%$  to  $63 \pm 10\%$ , P<0.05) was observed, at the expense of a decrease in %NK-cells ( $17 \pm 8\%$  to  $15 \pm 7\%$ , P<0.001). The novel supplement group showed a large increase in %T-cells

 $(56 \pm 12\% \text{ to } 61 \pm 12\%, P<0.01)$  and also in %B-cells  $(5.9 \pm 2.3\% \text{ to } 6.4 \pm 2.6\%, P=0.064)$ , whereas decreases in %monocytes  $(16 \pm 8\% \text{ to } 12 \pm 5\%, P<0.01)$  and %NK-cells  $(17 \pm 9\% \text{ to } 15 \pm 8\%, P=0.08)$  were





observed. In both groups, there were no differences in before-stimulation levels of IL-13 and IFN $\gamma$  at baseline compared to end-of follow-up. Stimulation of PBMCs with memory recall mixes significantly increased levels of IL-13 and IFN $\gamma$  in both groups at both time points (P<.0001 for all comparisons). Before and after stimulation levels of IL-13 and IFN $\gamma$  did not differ between groups on both time points.

#### Tolerance

Median compliance was high (95%) and did not differ between treatment arms. The taste of the standard supplement product was rated better on a 100 mm VAS scale than that of the novel supplement at the start of the trial (standard supplement:  $57 \pm 3.6$  mm vs novel supplement:  $40 \pm 3.6$  mm, P=0.001). This difference attenuated during the trial, resulting in a tendency to a higher rating for the standard supplement product at the end of follow-up (standard supplement:  $60 \pm 4.7$  mm vs novel supplement:  $49 \pm 4.7$  mm, P=0.085).

During follow-up, a total of 4 serious adverse events occurred, which were not related to treatment nor to study procedures. All serious adverse events (angina pectoris, erysipelas with suspected pneumonia, transient ischemic attack, volvulus) occurred in the novel supplement group, and the first two led to discontinuation of the participant. Adverse events were reported by 31 (77.5%) participants in the novel supplement group and 28 (68.3%) participants in the standard supplement group. A total of 55 adverse events were classified as gastro-intestinal complaints, which occurred in 42 participants (Table 5). Upper abdominal complaints, such as belching, heartburn and dyspepsia, were reported more often by participants in the standard supplement group (n=10, leading to drop-out in n=1) than in the novel supplement group (n=5). Side-effects related to defecation, mainly reported as diarrhoea, were observed more often in participants in the novel supplement group (n=21) than in the standard supplement group (n=7). In the novel supplement group, these complaints led to study discontinuation in 5 participants. The relation to the study product was evaluated by an independent physician and considered 'definite' in n=1 (intolerance for milk proteins which was not reported by the participant during screening), 'possible' in n=3 and 'unlikely' in n=1 of the participants who dropped out.

## **DISCUSSION**

In this study, twelve weeks of supplementation with a novel supplement containing a mixture of casein and whey protein, additional free branched-chain amino acids, additional vitamin D, and ursolic acid achieved a significant increase in body weight, which was comparable to standard supplement. Participants receiving standard supplement gained significantly more fat mass compared to those receiving the novel supplement. The relatively larger gain in lean mass in participants receiving the novel supplement did not lead to significant between-group differences. In the total sample, lean mass did increase significantly, even in the absence of concurrent resistance exercise. Treatment with the novel supplement led to an improved gait speed over short and long distance. Transcriptomics on muscle tissue suggest that improved mitochondrial functioning may have led to the observed improvements in muscle functioning.

The significant increase in lean mass in the total population amounted to 0.25 kg, of which 0.17 kg was appendicular lean mass, ALM. The 0.17 kg increase in



Figure 3. Change in time to complete 4 m (left, time\*treatment effect P=0.047) and 400 m (right, time\*treatment effect P=0.038, n=81).

Table 3. Changes i	n physical function	in the two groups.
--------------------	---------------------	--------------------

		Δ12 weeks					
		Novel supplement (n=40)	P- value	Standard supplement (n=41)	P-value <sup>a</sup>	Time effect	Time*treatment interaction
Walking	4 m <sup>b</sup>	$-0.4 \pm 0.1 \text{ s}$	0.047	$0.0 \pm 0.1 \text{ s}$	1.000	0.044	0.048
performance	400 m <sup>c</sup>	$-7.4 \pm 8.7 \text{ s}$	1.000	$17.6\pm7.8~s$	0.172	0.386	0.038
Handgrip	Dom	$0 \pm 1 \text{ kg}$	1.000	$0 \pm 1 \text{ kg}$	1.000	0.974	0.948
Strength <sup>b</sup>	Non-dom	$0 \pm 1 \text{ kg}$	1.000	$1 \pm 1 \text{ kg}$	1.000	0.660	0.340
<b>W</b> arran (and	Dom	$-2 \pm 14$ N	1.000	$26 \pm 21$ N	1.000	0.200	0.145
Knee extension <sup>d</sup>	Non-dom	$8 \pm 12 \text{ N}$	1.000	$38 \pm 10 \text{ N}$	0.003	0.005	0.058
IZ Cha thand	Dom	$12 \pm 9 \ N$	1.000	$23 \pm 8 \text{ N}$	0.036	0.006	0.351
Knee flexion <sup>d</sup> Non-doi	Non-dom	$6 \pm 10 \text{ N}$	1.000	$15 \pm 8 \text{ N}$	0.443	0.106	0.453
Chair rise test <sup>c</sup>		$0.0\pm0.5~s$	1.000	$-0.3 \pm 0.4 \text{ s}$	1.000	0.543	0.634
SPPB score		$0.1\pm0.2 \text{ pt}$	1.000	$0.3\pm0.2\ pt$	0.523	0.169	0.355

Dom, dominant limb; non-dom, non-dominant limb; N, Newton; SPPB, short physical performance battery.

<sup>a</sup>P-values are from posthoc tests with Bonferroni adjustment of multiple testing.

<sup>b</sup>Adjusted for gender and height.

<sup>c</sup>Adjusted for gender, height and age.

<sup>d</sup>Adjusted for gender, height, age and observer.

ALM was in line with findings from the PROVIDE study, which found a 0.17 kg higher ALM after 13 weeks of supplementation with a whey protein-based ONS compared to non-protein containing control in older adults suffering from sarcopenia [20]. In our study, the standard supplement led to a considerably greater increase in fat mass than the novel supplement did (1.6 vs 1.1 kg), while no significant between-group differences in body weight gain were observed. Consequently, the relative amount of lean mass as part of the total gained mass was higher in the novel supplement group (21%) than in the standard supplement group (12%). The lower fat mass gain in the novel supplement group might be due to anti-obesity effects of UA that have been reported in multiple animal studies [33] and a human trial [34]. It is unlikely that the different types of proteins in the supplements caused this difference [35]. The standard supplement group also showed a greater improvement in phase angle than the novel supplement group. Phase angle is considered as a measure for undernutrition, but its diagnostic value is debatable [36].

Participants in the novel supplement group improved their walking performance on the 4m and the 400m walk test, while participants in the standard supplement group showed a stable (4m walk test) or even decreased walking performance (400m walk test). The improvements in gait speed that we observed are clinically relevant [37, 38] and might lower the chance of falls, hospitalization and mortality [39, 40]. The effect on gait speed is likely explained by a difference in mitochondrial functioning. Our mRNA expression analyses showed that of all C2 curated gene sets, gene sets related to oxidative phosphorylation, mitochondrial dynamics and mitochondrial biogenesis were most differentially expressed between the two groups. These gene sets were enriched in participants receiving the novel supplement, while they were strongly suppressed in participants receiving the standard supplement. Thus, participants receiving the novel supplement showed enrichments in mitochondrial gene sets and improvements in gait speed, while participants receiving standard supplement showed suppressions of the same gene sets and declines in gait speed.

The similar pattern between mitochondrial dynamics and performance on gait speed is not surprising. Studies have shown that lower oxidative phosphorylation capacity is related to higher fatigability in older adults [41] and that the mitochondrial capacity for oxidative phosphorylation correlates well with walking speed in studies with comparable populations [42, 43]. Importantly, participants in both groups of the present study did not increase their physical activity level during the trial period, a prominent intermediate in the relation between mitochondrial energetics and physical functioning [44]. Recently, Migliavacca et al. showed that sarcopenia is related to pronounced downregulation of several mitochondrial gene sets [29]. Strikingly, the participants who received the novel supplement in our study showed enrichments in exactly the same gene sets, indicating a sarcopenia-opposing epigenetic fingerprint induced by the novel supplement.

The most likely compounds in the novel supplement to be responsible for the improvements in gait speed and oxidative metabolism are the free BCAAs and UA. BCAAs have shown to enhance mitochondrial biogenesis and endurance capacity in mouse models, which is mainly explained by an upregulation of mTOR [45, 46]. In our study, we did not observe upregulation of the mTOR pathway in either treatment arm. However, BCAAs also upregulate PGC-1 $\alpha$  and SIRT-1 [45]. We also observed an upregulation of protein expression of PGC-1 $\alpha$ , but we were not able to detect SIRT-1 proteins with western blots. Moreover, BCAAs are precursors of acetyl-CoA and succinyl-CoA, which are components of the TCA-cycle, and might, therefore, lead to upregulations of the TCA-cycle gene set.

Of interest, Chen and colleagues showed that UA improved mitochondrial biogenesis via upregulation of activated AMPK, TFAM and PGC-1 $\alpha$  in myotubes, and improved endurance in mice [18]. In the subsample of n=15 participants from which we successfully assessed protein expression via western blots, we found results that are in line with these findings. Whereas we observed increased activation of AMPK in both treatment arms, the indications of increased expression

of PGC-1 $\alpha$  was especially pronounced in the novel supplement group. TFAM was also upregulated in both treatment arms, but in this case, the expression was increased to a greater extent in the standard supplement group. Within-group upregulations of TFAM and PGC-1 $\alpha$  were, however, not significant due to large variation. All in all, the potential of UA and of the intervention supplement on mitochondrial dynamics deserve attention in the future, as improving mitochondrial functioning might prove pivotal for the muscle functioning of older adults [47].

Our study design does not allow us to determine the compound that is responsible for the observed effects of the novel supplement. However, our results on endurance and mitochondrial protein expression are strikingly similar to the results found with isolated UA on muscle fibres and earlier in mouse studies. Therefore, we do urge the need for highly controlled trials that investigate the role of isolated UA on endurance capacity and mitochondrial functioning in older adults to further explore the potential therapeutic role of UA in this population.

We did not observe treatment-specific differences in muscle strength. Handgrip strength, total SPPB score and chair rise test did not show any sign of a change in either group. Extension and flexion of the legs increased



**Figure 4.** Enrichment of the top enriched gene sets (FDR<1.5 E-8, overlap < 75%) from differential analysis in *ClusterProfiler* using MsigDB C2 Curated gene sets. From left to right, differential enrichment of gene sets, enrichment of these gene sets in standard supplement group (*n*=13, blue bars), and enrichment of these gene sets in novel supplement group (*n*=7, pink bars). FDR, false detection rate; NS, not significant.

in both groups, but only the extension of the nondominant leg showed between-treatment differences, with a trend towards a beneficial effect of the standard supplement over the novel supplement group. However, the increase in non-dominant flexion in the standard supplement group failed to reach a significant time\*treatment effect and did not translate into improved performance on chair rise test or the two walking tests.

We did observe an overall increase in lean mass during the intervention, indicating that a nutritional intervention without a concurrent (resistance) exercise program is able to improve lean body mass. This has important implications for clinical practice where exercise interventions are not always possible. Regardless, it would be of interest to further research the implications of the novel supplement with a concurrent exercise intervention, as it might largely augment findings on lean mass and mitochondrial functioning.

We observed a time\*treatment effect on IGF-1 levels, with an increase of 1.3 nmol/l in the standard supplement group and a decrease of -0.9 nmol/l in the novel supplement group. As IGF-1 levels were 3.0 nmol/l higher in the novel supplement group at baseline compared to the standard supplement group, this observed effect could be partly explained by regression to the mean. Moreover, there is evidence showing that



# Figure 5. Fold change in PGC1- $\alpha$ expression between baseline and week 12 in the two treatment arms.

only casein protein, and not whey, increases IGF-1 levels [48]. These different effects of whey and casein on IGF-1 levels possibly explain why the standard supplement product, which contained 24 g of casein per daily dose, resulted in increased IGF-1 levels, while the intervention product, which contained 11 g of casein and 11 g of whev per daily dose, did not increase IGF-1 levels. Moreover, although UA stimulated IGF-1 signalling in skeletal muscle tissue, it did not increase plasma IGF-1 levels in mouse models [17]. The exact effects of plasma IGF-1, which is primarily produced hepatically under the influence of growth hormone, on lean body mass in older adults are unclear [49, 50]. Also, in our study, the between-group differences in plasma IGF-1 levels did not translate into differences in lean body mass.

In both groups, participants showed high compliance to the study products and rated both products equally well, suggesting that nutrition supplementation in fluid or powder form is both acceptable for patients. Thereby, the supplements successfully increased protein and energy intake. Participants in this study already had a high mean protein intake (1.4 g/kg/day), energy intake (2177 kcal/day) and vitamin D status (80 nmol/l) at baseline. These high intake levels might be explained by increased intake or over-reporting, as a result of increased awareness towards food intake. A cause for this could be the nutritional assessment (by MNA-sf) performed at the screening visit, before the days on which the baseline food records were completed, or the fact that some participants were already seeing a dietitian, and dietary advice other than ONS may already have been implemented (for instance consuming more dairy). Although the daily vitamin D intake from ONS was more than twice as high in the novel supplement group (10.8  $\mu$ g) than that in the standard supplement group (4.4  $\mu$ g), both groups showed a similar increase in vitamin D status. This can be explained by the high baseline vitamin D levels, variations in vitamin D intake from the background diet and the allowed use of vitamin D supplementation during the study. Despite the compliance with the nutritional therapy and the improvements in body weight, serum albumin levels decreased in both treatment arms. This observation supports the growing consensus that albumin levels are not suitable as a marker for nutritional status, as many other factors influence serum albumin levels [51]. Results of the stimulation of PBMCs with memory recall mixes did not suggest any substantial changes in immune function on both groups, although the increased proportion of T and B cells in the novel supplement group may impact the general immune status of the subjects. Of note, the same novel supplement seemed to increase the expression of immune-protective pathways in mice [21].

Table 4. Changes in bl	ood markers and	PBMC composition.
------------------------	-----------------	-------------------

	Novel supplement (n=40)		Standard su	Time*		
_	PRE treatment	POST treatment	PRE treatment	POST treatment	treatment interaction	
Albumin (g/l)	$38.9\pm0.4$	$36.6 \pm 0.4 ***$	$38.4\pm0.4$	$37.3 \pm 0.4$	0.002	
Creatinine (µmol/l)	$77.0\pm2.8$	$73.8\pm2.9$	$78.4\pm2.8$	$79.3\pm2.8$	0.004	
IGF-1 (nmol/l)	$19.2\pm0.9$	$18.3\pm1.0$	$16.2\pm0.9$	$17.5\pm0.9$	0.025	
25(OH)D (nmol/l)	$82.7\pm4.2$	$96.0 \pm 4.4 **$	$77.0 \pm 4.1$	$89.6 \pm 4.2^{***}$	NS	
T-cells (%)	$56 \pm 12$	$61 \pm 12^{*}$	$61 \pm 11$	$63 \pm 10^*$	NA	
NK-cells (%)	$17 \pm 9$	$15 \pm 8$	$17\pm8$	$15 \pm 7^{**}$	NA	
B-cells (%)	$5.9 \pm 2.3$	$6.4 \pm 2.6$	$5\pm 2$	$5\pm 2$	NA	
Monocytes (%)	$16\pm 8$	$12 \pm 5^{*}$	$13\pm 8$	$13 \pm 6$	NA	

PBMC, peripheral blood mononuclear cell; NK, natural killer. \*, P<0.05; \*\*, P<0.01; \*\*\*, P<0.001.

Table 5. Overview of gastro-intestinal	adverse events that occurred during the trial.
--	--

Adverse event	Novel supplement (n=40)	Standard supplement (n=41)
Participants with any reported event (n)	31	28
Of which gastro-intestinal (n)	25	17
Belching, heartburn, dyspepsia (n)	5	10
Altered defecation, such as constipation or diarrhoea (n)	21	7
Gastro-intestinal complaints leading to drop-out (n)	5	1
Of which relation to study product was independently evaluated as:		
Definite (n)	1	0
Possible (n)	3	1
Unlikely (n)	1	0

The study reported in this paper is one of the larger randomized controlled trials with ONS run in community-dwelling participants with (risk of) undernutrition. Trials with ONS in undernourished older adults are scarce, are often lacking assessment of important outcomes such as physical functioning and body composition, and often do not have a suitable comparator therapy [52]. We compared the novel supplement to standard care, which allows examination of its real added value, in the absence of exercise, which reflects the real-life situation of community-dwelling older adults. The broad range of measured outcomes provides an extensive understanding of the effects of the novel supplement in comparison to the standard supplement product. Notably, the inclusion of muscle mRNA and protein expression analyses provided crucial information on underlying mechanisms. We observed higher median compliance (95%) compared to other studies that investigated ONS in community settings (81%) [53]. Moreover, while we anticipated a drop-out rate of 20%, only 13.5% of participants did not complete the study due to a variety of reasons. In the standard supplement group, drop-out rates were low, with only 2 of 41 participants dropping out. The dropout rate was higher in the novel supplement group, partly due to gastro-intestinal complaints possibly related to the study product in 4 of 40 participants. One of these participants appeared to be intolerant to milk protein that had not been reported. For the other three, we did not find an explanation for their reaction to the product, and an independent physician evaluated these complaints as being possibly related to the study product.

This study also faced some limitations. First, due to the different appearance of the study products, blinding was not possible. However, participants did not know which product was the intervention product and which was the control product. In this way, all participants were under the impression that they received the product of interest, which diminished a placebo effect in the novel supplement group. Besides, several objective outcomes

(such as DXA and mRNA expression) are not prone to caveats of a non-blinded design. For rated outcomes, examiners were extensively trained on following standardized protocols to avoid influencing results. Second, the multiple differences between study products make it impossible to identify with certainty which compound is responsible for the found effects. Third, we advised participants to consume the products after breakfast and lunch, but they were free to follow this advice or to distribute the supplements over the day in their preferred way. As a result, some participants may not have reached the anabolic threshold during every meal, but this did contribute to high compliance and mimicked the real-life situation. Finally, this study included community-dwelling participants with no clear cognitive or physical impairments. For practical relevance, including such highly vulnerable populations would be much welcomed. Possibly, greater improvements in physical functioning could be observed in case participants have a lower physical functioning at baseline and participants with cognitive dysfunction might particularly benefit from improvements in mitochondrial functioning [54]. On the other hand, in those with cognitive impairments, the compliance to the supplements could be lower, especially to the novel supplement that needs to be dissolved in a liquid.

In conclusion, we showed that 12-week supplementation with a novel oral nutritional supplement improved walking performance in older adults with (or at risk of) undernutrition, possibly via improvements in mitochondrial mechanisms in the muscle. Compared to standard care, the novel supplement performed equally well on other measured domains of physical function and body composition.

#### **Data-share statement**

Data described in the manuscript, codebook, and analytic code will be made available upon request.

## Abbreviations

AMPK: AMP-activated protein kinase; BCAA: branched-chain amino acids; CPM: counts per minute; DXA: dual-energy x-ray absorptiometry; EDTA: Ethylenediaminetetraacetic acid; eGFR: estimated glomerular filtration rate; EWGSOP2: European Working Group on Sarcopenia in Older People 2; FDR: false discovery rate q-value; IBMT: intensity-based moderated T-statistic; IFN $\gamma$ : interferon-gamma; IGF-1: Insulin-like growth factor 1; IL-13: interleukin-13; MNA-sf: mini nutritional assessment tool short form; mTOR: mammalian target of rapamycin; MuRF-1: Muscle RING finger 1; NES: normalized enrichment score; NK: Natural Killer cells; ONS: oral nutritional supplements; PBMC: peripheral blood mononuclear cells; PGC1α: Peroxisome proliferator-activated receptor gamma coactivator 1 alpha; ProMO: PROtein supplementation in Malnourished Older adults; PVDF: Polyvinylidene difluoride; RMA: robust multichip average; SD: standard deviation; SPPB: short physical performance battery; TBS: Tris Buffered Saline; TCA: tricarboxylic acid; TFAM: mitochondrial transcription factor a; UA: ursolic acid.

## ACKNOWLEDGMENTS

We are thankful to all members of the ProMO-study team, to research staff members, to all dietitians who helped us in recruiting participants, to the collaborating staff of hospitals Gelderse Vallei and Rijnstate, and, above all, to all participants for their enthusiastic and committed participation in this trial.

## **CONFLICTS OF INTEREST**

Lisette de Groot has received research grants from VitalNext B.V. Pol Grootswagers, Ellen Smeets and Antwi-Boasiako Oteng declare that they have no conflict of interest.

## FUNDING

This study was financed and study products were provided by VitalNext B.V., Wageningen, the Netherlands. The funding party had no influence on the trial execution, statistical analysis and interpretation of the results.

#### **REFERENCES**

- Schilp J, Kruizenga HM, Wijnhoven HA, Leistra E, Evers AM, van Binsbergen JJ, Deeg DJ, Visser M. High prevalence of undernutrition in Dutch community-dwelling older individuals. Nutrition. 2012; 28:1151–56. <u>https://doi.org/10.1016/j.nut.2012.02.016</u> PMID:<u>22749873</u>
- Marshall S, Bauer J, Isenring E. The consequences of malnutrition following discharge from rehabilitation to the community: A systematic review of current evidence in older adults. J Hum Nutr Diet. 2014; 27:133–41.

https://doi.org/10.1111/jhn.12167 PMID:24289811

 Norman K, Pichard C, Lochs H, Pirlich M. Prognostic impact of disease-related malnutrition. Clin Nutr. 2008; 27:5–15. <u>https://doi.org/10.1016/j.clnu.2007.10.007</u> PMID:18061312  Cano NJ, Roth H, Court-Ortuné I, Cynober L, Gérard-Boncompain M, Cuvelier A, Laaban JP, Melchior JC, Pichard C, Raphaël JC, Pison CM, and Clinical Research Group of the Société Francophone de Nutrition Entérale et Parentérale. Nutritional depletion in patients on long-term oxygen therapy and/or home mechanical ventilation. Eur Respir J. 2002; 20:30–37.

https://doi.org/10.1183/09031936.02.01812001 PMID:<u>12166577</u>

- Li T, Zhang Y, Gong C, Wang J, Liu B, Shi L, Duan J. Prevalence of malnutrition and analysis of related factors in elderly patients with COVID-19 in Wuhan, China. Eur J Clin Nutr. 2020; 74:871–75. <u>https://doi.org/10.1038/s41430-020-0642-3</u> PMID:<u>32322046</u>
- Weenen TC, Commandeur H, Claassen E. A critical look at medical nutrition terminology and definitions. Trends Food Sci Technol. 2014; 38:34–46. <u>https://doi.org/10.1016/j.tifs.2014.04.004</u>
- Milne AC, Potter J, Vivanti A, Avenell A. Protein and energy supplementation in elderly people at risk from malnutrition. Cochrane Database Syst Rev. 2009; 2009:CD003288. <u>https://doi.org/10.1002/14651858.CD003288.pub3</u> PMID:19370584
- Mijnarends DM, Luiking YC, Halfens RJ, Evers SM, Lenaerts EL, Verlaan S, Wallace M, Schols JM, Meijers JM. Muscle, Health and Costs: A Glance at their Relationship. J Nutr Health Aging. 2018; 22:766–73. <u>https://doi.org/10.1007/s12603-018-1058-9</u> PMID:<u>30080217</u>
- Fusco O, Ferrini A, Santoro M, Lo Monaco MR, Gambassi G, Cesari M. Physical function and perceived quality of life in older persons. Aging Clin Exp Res. 2012; 24:68–73. <u>https://doi.org/10.1007/BF03325356</u>

PMID:22643307

- Burd NA, Yang Y, Moore DR, Tang JE, Tarnopolsky MA, Phillips SM. Greater stimulation of myofibrillar protein synthesis with ingestion of whey protein isolate v. micellar casein at rest and after resistance exercise in elderly men. Br J Nutr. 2012; 108:958–62. <u>https://doi.org/10.1017/S0007114511006271</u> PMID:<u>22289570</u>
- Pennings B, Boirie Y, Senden JM, Gijsen AP, Kuipers H, van Loon LJ. Whey protein stimulates postprandial muscle protein accretion more effectively than do casein and casein hydrolysate in older men. Am J Clin Nutr. 2011; 93:997–1005. <u>https://doi.org/10.3945/ajcn.110.008102</u> PMID:<u>21367943</u>

 Katsanos CS, Kobayashi H, Sheffield-Moore M, Aarsland A, Wolfe RR. A high proportion of leucine is required for optimal stimulation of the rate of muscle protein synthesis by essential amino acids in the elderly. Am J Physiol Endocrinol Metab. 2006; 291:E381–87. https://doi.org/10.1152/ajpendo.00488.2005

PMID:16507602

Rieu I, Balage M, Sornet C, Giraudet C, Pujos E, Grizard J, Mosoni L, Dardevet D. Leucine supplementation improves muscle protein synthesis in elderly men independently of hyperaminoacidaemia. J Physiol. 2006; 575:305–15.
https://doi.org/10.1113/inducid.2006.110742

https://doi.org/10.1113/jphysiol.2006.110742 PMID:<u>16777941</u>

- Wilkinson DJ, Hossain T, Hill DS, Phillips BE, Crossland H, Williams J, Loughna P, Churchward-Venne TA, Breen L, Phillips SM, Etheridge T, Rathmacher JA, Smith K, et al. Effects of leucine and its metabolite β-hydroxy-βmethylbutyrate on human skeletal muscle protein metabolism. J Physiol. 2013; 591:2911–23. <u>https://doi.org/10.1113/jphysiol.2013.253203</u> PMID:<u>23551944</u>
- van Loon LJ. Leucine as a pharmaconutrient in health and disease. Curr Opin Clin Nutr Metab Care. 2012; 15:71–77. <u>https://doi.org/10.1097/MCO.0b013e32834d617a</u> PMID:<u>22037013</u>
- 16. Wolfe RR. Branched-chain amino acids and muscle protein synthesis in humans: myth or reality? J Int Soc Sports Nutr. 2017; 14:30. <u>https://doi.org/10.1186/s12970-017-0184-9</u> PMID:28852372
- Kunkel SD, Suneja M, Ebert SM, Bongers KS, Fox DK, Malmberg SE, Alipour F, Shields RK, Adams CM. mRNA expression signatures of human skeletal muscle atrophy identify a natural compound that increases muscle mass. Cell Metab. 2011; 13:627–38. <u>https://doi.org/10.1016/j.cmet.2011.03.020</u> PMID:<u>21641545</u>
- Chen J, Wong HS, Leong PK, Leung HY, Chan WM, Ko KM. Ursolic acid induces mitochondrial biogenesis through the activation of AMPK and PGC-1 in C2C12 myotubes: a possible mechanism underlying its beneficial effect on exercise endurance. Food Funct. 2017; 8:2425–36.

https://doi.org/10.1039/c7fo00127d PMID:<u>28675237</u>

 Deutz NE, Matheson EM, Matarese LE, Luo M, Baggs GE, Nelson JL, Hegazi RA, Tappenden KA, Ziegler TR, and NOURISH Study Group. Readmission and mortality in malnourished, older, hospitalized adults treated with a specialized oral nutritional supplement: A randomized clinical trial. Clin Nutr. 2016; 35:18–26. https://doi.org/10.1016/j.clnu.2015.12.010 PMID:26797412

- Bauer JM, Verlaan S, Bautmans I, Brandt K, Donini LM, Maggio M, McMurdo ME, Mets T, Seal C, Wijers SL, Ceda GP, De Vito G, Donders G, et al. Effects of a vitamin D and leucine-enriched whey protein nutritional supplement on measures of sarcopenia in older adults, the PROVIDE study: A randomized, double-blind, placebo-controlled trial. J Am Med Dir Assoc. 2015; 16:740–47. <u>https://doi.org/10.1016/j.jamda.2015.05.021</u>
- van den Hoek AM, Zondag GC, Verschuren L, de Ruiter C, Attema J, de Wit EC, Schwerk AM, Guigas B, Lek S, Rietman A, Strijker R, Kleemann R. A novel nutritional supplement prevents muscle loss and accelerates muscle mass recovery in caloric-restricted mice. Metabolism. 2019; 97:57–67. <u>https://doi.org/10.1016/j.metabol.2019.05.012</u> PMID:<u>31153978</u>

PMID:26170041

- 22. Tieland M, Dirks ML, van der Zwaluw N, Verdijk LB, van de Rest O, de Groot LC, van Loon LJ. Protein supplementation increases muscle mass gain during prolonged resistance-type exercise training in frail elderly people: A randomized, double-blind, placebocontrolled trial. J Am Med Dir Assoc. 2012; 13:713–19. <u>https://doi.org/10.1016/j.jamda.2012.05.020</u> PMID:<u>22770932</u>
- 23. Guralnik JM, Ferrucci L, Pieper CF, Leveille SG, Markides KS, Ostir GV, Studenski S, Berkman LF, Wallace RB. Lower extremity function and subsequent disability: consistency across studies, predictive models, and value of gait speed alone compared with the short physical performance battery. J Gerontol A Biol Sci Med Sci. 2000; 55:M221–31. <u>https://doi.org/10.1093/gerona/55.4.m221</u> PMID:<u>10811152</u>
- 24. Lin K, Kools H, de Groot PJ, Gavai AK, Basnet RK, Cheng F, Wu J, Wang X, Lommen A, Hooiveld GJ, Bonnema G, Visser RG, Muller MR, Leunissen JA. MADMAX -Management and analysis database for multiple ~omics experiments. J Integr Bioinform. 2011; 8:160. <u>https://doi.org/10.2390/biecoll-jib-2011-160</u> PMID:21778530
- Dai M, Wang P, Boyd AD, Kostov G, Athey B, Jones EG, Bunney WE, Myers RM, Speed TP, Akil H, Watson SJ, Meng F. Evolving gene/transcript definitions significantly alter the interpretation of GeneChip data. Nucleic Acids Res. 2005; 33:e175. <u>https://doi.org/10.1093/nar/gni179</u> PMID:<u>16284200</u>

- Bolstad BM, Irizarry RA, Astrand M, Speed TP. A comparison of normalization methods for high density oligonucleotide array data based on variance and bias. Bioinformatics. 2003; 19:185–93. https://doi.org/10.1093/bioinformatics/19.2.185 PMID:12538238
- Sartor MA, Tomlinson CR, Wesselkamper SC, Sivaganesan S, Leikauf GD, Medvedovic M. Intensitybased hierarchical Bayes method improves testing for differentially expressed genes in microarray experiments. BMC Bioinformatics. 2006; 7:538. <u>https://doi.org/10.1186/1471-2105-7-538</u> PMID:<u>17177995</u>
- 28. Yu G, Wang LG, Han Y, He QY. clusterProfiler: An R package for comparing biological themes among gene clusters. OMICS. 2012; 16:284–87. <u>https://doi.org/10.1089/omi.2011.0118</u> PMID:<u>22455463</u>
- Migliavacca E, Tay SK, Patel HP, Sonntag T, Civiletto G, McFarlane C, Forrester T, Barton SJ, Leow MK, Antoun E, Charpagne A, Seng Chong Y, Descombes P, et al. Mitochondrial oxidative capacity and NAD<sup>+</sup> biosynthesis are reduced in human sarcopenia across ethnicities. Nat Commun. 2019; 10:5808. <u>https://doi.org/10.1038/s41467-019-13694-1</u> PMID:<u>31862890</u>
- RIVM/Voedingscentrum. Nevo-Tabel; Nederlands Voedingsstoffenbestand 2011. Den Haag, The Netherlands.
- Krause DA, Neuger MD, Lambert KA, Johnson AE, DeVinny HA, Hollman JH. Effects of examiner strength on reliability of hip-strength testing using a handheld dynamometer. J Sport Rehabil. 2014; 23:56–64.

https://doi.org/10.1123/jsr.2012-0070 PMID:24231811

32. Cruz-Jentoft AJ, Bahat G, Bauer J, Boirie Y, Bruyère O, Cederholm T, Cooper C, Landi F, Rolland Y, Sayer AA, Schneider SM, Sieber CC, Topinkova E, et al, and Writing Group for the European Working Group on Sarcopenia in Older People 2 (EWGSOP2), and the Extended Group for EWGSOP2. Sarcopenia: revised European consensus on definition and diagnosis. Age Ageing. 2019; 48:16–31.

https://doi.org/10.1093/ageing/afy169 PMID:<u>30312372</u>

 Katashima CK, Silva VR, Gomes TL, Pichard C, Pimentel GD. Ursolic acid and mechanisms of actions on adipose and muscle tissue: A systematic review. Obes Rev. 2017; 18:700–11. <u>https://doi.org/10.1111/obr.12523</u>

PMID:28335087

- 34. Ramírez-Rodríguez AM, González-Ortiz M, Martínez-Abundis E, Acuña Ortega N. Effect of Ursolic Acid on Metabolic Syndrome, Insulin Sensitivity, and Inflammation. J Med Food. 2017; 20:882–86. <u>https://doi.org/10.1089/jmf.2017.0003</u> PMID:<u>28598231</u>
- 35. Bendtsen LQ, Lorenzen JK, Bendsen NT, Rasmussen C, Astrup A. Effect of dairy proteins on appetite, energy expenditure, body weight, and composition: A review of the evidence from controlled clinical trials. Adv Nutr. 2013; 4:418–38. <u>https://doi.org/10.3945/an.113.003723</u> PMID:23858091
- 36. Rinaldi S, Gilliland J, O'Connor C, Chesworth B, Madill J. Is phase angle an appropriate indicator of malnutrition in different disease states? A systematic review. Clin Nutr ESPEN. 2019; 29:1–14. <u>https://doi.org/10.1016/j.clnesp.2018.10.010</u> PMID:<u>30661671</u>
- 37. Kwon S, Perera S, Pahor M, Katula JA, King AC, Groessl EJ, Studenski SA. What is a meaningful change in physical performance? Findings from a clinical trial in older adults (the LIFE-P study). J Nutr Health Aging. 2009; 13:538–44. https://doi.org/10.1007/s12603-009-0104-z PMID:19536422
- 38. Perera S, Mody SH, Woodman RC, Studenski SA. Meaningful change and responsiveness in common physical performance measures in older adults. J Am Geriatr Soc. 2006; 54:743–49. <u>https://doi.org/10.1111/j.1532-5415.2006.00701.x</u> PMID:<u>16696738</u>
- 39. Abellan van Kan G, Rolland Y, Andrieu S, Bauer J, Beauchet O, Bonnefoy M, Cesari M, Donini LM, Gillette Guyonnet S, Inzitari M, Nourhashemi F, Onder G, Ritz P, et al. Gait speed at usual pace as a predictor of adverse outcomes in community-dwelling older people an International Academy on Nutrition and Aging (IANA) Task Force. J Nutr Health Aging. 2009; 13:881–89.

https://doi.org/10.1007/s12603-009-0246-z PMID:<u>19924348</u>

- Ostir GV, Kuo YF, Berges IM, Markides KS, Ottenbacher KJ. Measures of lower body function and risk of mortality over 7 years of follow-up. Am J Epidemiol. 2007; 166:599–605. <u>https://doi.org/10.1093/aje/kwm121</u> PMID:17566063
- 41. Santanasto AJ, Glynn NW, Jubrias SA, Conley KE, Boudreau RM, Amati F, Mackey DC, Simonsick EM, Strotmeyer ES, Coen PM, Goodpaster BH, Newman AB. Skeletal Muscle Mitochondrial Function and

Fatigability in Older Adults. J Gerontol A Biol Sci Med Sci. 2015; 70:1379–85. https://doi.org/10.1093/gerona/glu134 PMID:25167867

- Santanasto AJ, Coen PM, Glynn NW, Conley KE, Jubrias SA, Amati F, Strotmeyer ES, Boudreau RM, Goodpaster BH, Newman AB. The relationship between mitochondrial function and walking performance in older adults with a wide range of physical function. Exp Gerontol. 2016; 81:1–7. <u>https://doi.org/10.1016/j.exger.2016.04.002</u> PMID:27084585
- 43. Coen PM, Jubrias SA, Distefano G, Amati F, Mackey DC, Glynn NW, Manini TM, Wohlgemuth SE, Leeuwenburgh C, Cummings SR, Newman AB, Ferrucci L, Toledo FG, et al. Skeletal muscle mitochondrial energetics are associated with maximal aerobic capacity and walking speed in older adults. J Gerontol A Biol Sci Med Sci. 2013; 68:447–55. <u>https://doi.org/10.1093/gerona/gls196</u> PMID:<u>23051977</u>
- Distefano G, Standley RA, Zhang X, Carnero EA, Yi F, Cornnell HH, Coen PM. Physical activity unveils the relationship between mitochondrial energetics, muscle quality, and physical function in older adults. J Cachexia Sarcopenia Muscle. 2018; 9:279–94. <u>https://doi.org/10.1002/jcsm.12272</u> PMID:<u>29368427</u>
- 45. D'Antona G, Ragni M, Cardile A, Tedesco L, Dossena M, Bruttini F, Caliaro F, Corsetti G, Bottinelli R, Carruba MO, Valerio A, Nisoli E. Branched-chain amino acid supplementation promotes survival and supports cardiac and skeletal muscle mitochondrial biogenesis in middle-aged mice. Cell Metab. 2010; 12:362–72. <u>https://doi.org/10.1016/j.cmet.2010.08.016</u> PMID:20889128
- Valerio A, D'Antona G, Nisoli E. Branched-chain amino acids, mitochondrial biogenesis, and healthspan: An evolutionary perspective. Aging (Albany NY). 2011; 3:464–78.

https://doi.org/10.18632/aging.100322 PMID:21566257

47. Joseph AM, Adhihetty PJ, Buford TW, Wohlgemuth SE, Lees HA, Nguyen LM, Aranda JM, Sandesara BD, Pahor M, Manini TM, Marzetti E, Leeuwenburgh C. The impact of aging on mitochondrial function and biogenesis pathways in skeletal muscle of sedentary high- and low-functioning elderly individuals. Aging Cell. 2012; 11:801–09.

https://doi.org/10.1111/j.1474-9726.2012.00844.x PMID:<u>22681576</u>

48. Hoppe C, Mølgaard C, Dalum C, Vaag A, Michaelsen KF. Differential effects of casein versus whey on fasting plasma levels of insulin, IGF-1 and IGF-1/IGFBP-3: results from a randomized 7-day supplementation study in prepubertal boys. Eur J Clin Nutr. 2009; 63:1076–83.

https://doi.org/10.1038/ejcn.2009.34 PMID:19471293

- 49. Velloso CP. Regulation of muscle mass by growth hormone and IGF-I. Br J Pharmacol. 2008; 154:557–68. <u>https://doi.org/10.1038/bjp.2008.153</u> PMID:<u>18500379</u>
- 50. Bann D, Holly JM, Lashen H, Hardy R, Adams J, Kuh D, Ong KK, Ben-Shlomo Y. Changes in insulin-like growth factor-I and -II associated with fat but not lean mass in early old age. Obesity (Silver Spring). 2015; 23:692–98. <u>https://doi.org/10.1002/oby.21002</u> PMID:25645314
- 51. Lee JL, Oh ES, Lee RW, Finucane TE. Serum Albumin and Prealbumin in Calorically Restricted, Nondiseased Individuals: A Systematic Review. Am J Med. 2015; 128:1023.e1–22.

https://doi.org/10.1016/j.amjmed.2015.03.032 PMID:25912205 52. Correa-Pérez A, Abraha I, Cherubini A, Collinson A, Dardevet D, de Groot LC, de van der Schueren MA, Hebestreit A, Hickson M, Jaramillo-Hidalgo J, Lozano-Montoya I, O'Mahony D, Soiza RL, et al. Efficacy of non-pharmacological interventions to treat malnutrition in older persons: A systematic review and meta-analysis. The SENATOR project ONTOP series and MaNuEL knowledge hub project. Ageing Res Rev. 2019; 49:27–48.

https://doi.org/10.1016/j.arr.2018.10.011 PMID:30391755

- 53. Hubbard GP, Elia M, Holdoway A, Stratton RJ. A systematic review of compliance to oral nutritional supplements. Clin Nutr. 2012; 31:293–312. <u>https://doi.org/10.1016/j.clnu.2011.11.020</u> PMID:<u>22257636</u>
- 54. Picard M, McEwen BS. Mitochondria impact brain function and cognition. Proc Natl Acad Sci USA. 2014; 111:7–8. <u>https://doi.org/10.1073/pnas.1321881111</u> PMID:24367081

## SUPPLEMENTARY MATERIALS

## MNA-score per sarcopenia classification

Supplementary Table 1. Mean MNA-score per EWGSOP2 (Cruz-Jentoft et al., 2019) sarcopenia classification.

	Mean MNA-score	SD	N-total	N Novel supplement arm	N Standard supplement arm
No Sarcopenia	9.89	1.306	47	25	22
Sarcopenia Probable	9.22	1.649	27	12	15
Sarcopenia Confirmed	9.67	1.155	3	1	2
Sarcopenia Severe	8.60	2.302	5	3	2
Total	9.59	1.515	82	41	41

#### **Protein expression**

Both treatments upregulated the expression of proteins that induce mitochondrial biogenesis (see Figure). Activation of AMPK increased significantly in the novel supplement group (P=0.031) compared to the standard supplement group (P=0.125) (Figure 4B). TFAM and PGC-1 $\alpha$  expressions were not differentially upregulated between the two groups (Figures 4C, 4D, P=0.603 and P=0.685 for between treatment differences in TFAM and PGC-1 $\alpha$  fold changes, respectively).



Supplementary Figure 1. Effects of the two treatments on expression levels of proteins involved in mitochondrial pathways (n=15). Representative captures from western blots (A), of which quantification (mean plus standard error bar) is presented in (B–D): pAMPK/AMPK ratios per treatment arm and per measurement time (B), fold change between week 0 and 12 in arbitrary units of TFAM (C) and PGC-1 $\alpha$  (D) expression. All protein densities were normalised against GAPDH expression. \*, significant (P<.05) within-group change from baseline to week 12.